## Solution

## Petri nets - Homework 6

Discussed on Thursday $14^{\text {th }}$ July, 2016.
For questions regarding the exercises, please send an email to meyerphi@in.tum.de or just drop by at room 03.11.042.

## Exercise 6.1 Minimal traps and siphons in free-choice nets

A trap (resp. siphon) is minimal if it is proper (not empty) and contains no other proper trap (resp. siphon).
(a) Add arcs to the Petri net below such that it becomes a live and bounded free-choice system.
(b) Find all minimal traps and all minimal siphons of the resulting free-choice net.
(c) Does every minimal siphon contain a proper trap? Does every minimal trap contain a proper siphon?


## Solution:

(a) The net is already free-choice, however it is not bounded with the given initial marking. We can add arcs from $s_{3}$ to $t_{2}$ and from $s_{2}$ to $t_{3}$ to make the Petri net bounded, while remaining live and free-choice.

(b) The minimal traps of the net are $R_{1}=\left\{s_{1}, s_{2}\right\}, R_{2}=\left\{s_{3}, s_{4}\right\}$ and $R_{3}=\left\{s_{2}, s_{3}\right\}$. $R_{1}$ and $R_{2}$ are also the minimal siphons of the net. Note that $R_{1}$ and $R_{2}$ are initially marked, however $R_{3}$ is not.
(c) The minimal siphons $R_{1}$ and $R_{2}$ are traps themself and therefore contain proper traps. However, the trap $R_{3}$ does not contain any non-empty siphon.

As the free-choice system is live, this also follows from Commoner's Liveness Theorem, which states that every minimal siphon needs to contain an initially marked trap.

## Exercise 6.2 Characterization of minimal siphons

(a) Let $N$ be a net, $R$ a minimal siphon of $N$, and $N_{R}$ the subnet generated by $(R, \bullet R)$. Show: $N_{R}$ is strongly connected. Hint: For an $\operatorname{arc}(x, y)$ in $N_{R}$, with $Q=\left\{s \in R \mid\right.$ there exists a path from $s$ to $x$ in $\left.N_{R}\right\}$, show that $Q$ is a proper siphon, and therefore there exists a path from $y$ into $Q$ to $X$.
(b) Exhibit a strongly connected net in which not every place belongs to a minimal siphon.

Hint: Two places and two transitions suffice.

## Solution:

(a) Observe first that $N_{R}$ is connected, otherwise, $N_{R}$ has two different connected components, and the set of places of each of them is a proper siphon included in $R$.
Let $(x, y)$ be an arbitrary arc of $N_{R}$. We prove in four steps that $N_{R}$ contains a path from $y$ to $x$. Define

$$
Q=\left\{s \in R \mid \text { there exists a path from } s \text { to } x \text { in } N_{R}\right\} .
$$

i) $Q \neq \emptyset$.

Since $x$ is a node of $N_{R}, x \in R \cup \bullet R$.
If $x \in R$, then $x \in Q$ by the definition of $Q$, and hence $Q \neq \emptyset$.
If $x \in{ }^{\bullet} R$, then $x \in R^{\bullet}$ since $R$ is a siphon. So $x \in s^{\bullet}$ for some place $s \in R$. By the definition of $Q, s \in Q$ and hence $Q \neq \emptyset$
ii) $Q$ is a siphon.

Let $t$ be a transition of ${ }^{\bullet} Q$. We show $t \in Q^{\bullet}$. Since $t \in{ }^{\bullet} Q$, we have $t \in{ }^{\bullet} s$ for some place $s \in Q$. By the definition of $Q$, the subnet $N_{R}$ contains a path $\pi$ leading from $s$ to $x$. Since $Q \subseteq R$, and $R$ is a siphon, $t$ is an output transition of some place $s^{\prime} \in R$. So the path $\pi^{\prime}=s^{\prime} t \pi$ leads from $s^{\prime}$ to $x$. Since $t \in{ }^{\bullet} Q \subseteq{ }^{\bullet} R$, the path $\pi^{\prime}$ only contains elements of $R \cup^{\bullet} R$, and is therefore a path of $N_{R}$. Then $s^{\prime} \in Q$ by the definition of $Q$. Since $t \in s^{\bullet \bullet}$, we get $t \in Q^{\bullet}$.
iii) By i) and ii), $Q$ is a proper siphon. Since $Q$ is included in the minimal siphon $Q$, we have $Q=R$.
iv) $N_{R}$ contains a path from $y$ to $x$.

Since $y$ is a node of $N_{R}$, we have $y \in R \cup \bullet R$.
Assume $y \in R$. Then $y \in Q$ by iii) and, by the definition of $Q, N_{R}$ contains a path from $y$ to $x$.
Assume $y \in{ }^{\bullet} R$. Then $y \in Q$ by iii). So $y \in{ }^{\bullet} s$ for some $s \in Q$. By the definition of $Q$, there exists a path $\pi$ of $N_{R}$ from $s$ to $x$. Since $s \in Q \subseteq R$, the path $y \pi$ is contained in $N_{R}$, and leads from $y$ to $x$.
(b) The following net is strongly connected, however $s_{2}$ does not belong to any minimal siphon, as $\left\{s_{2}\right\}$ is not a siphon by itself, but $\left\{s_{1}\right\}$ is a minimal siphon. All places together form a siphon as $\left\{s_{1}, s_{2}\right\}$, which is however not minimal.


## Exercise 6.3 Liveness and boundedness in free-choice systems

The result from the previous exercise can be used to show the following proposition (full proof given in Proposition 5.4 of "Free Choice Petri Nets" by J. Desel and J. Esparza):
Proposition 6.3.1. Let $N$ be a well-formed free-choice net and $R$ be a minimial siphon of $N$. Then
(1) $R$ is a trap of $N$.
(2) The subnet generated by $\left(R,{ }^{\bullet} R\right)$ is an S-component of $N$.

Using the above proposition, as well as Commoner's Liveness Theorem and Hack's Boundedness Theorem, prove or disprove the following:
(a) A bounded free-choice system $\left(N, M_{0}\right)$ is live iff every minimal siphon of $N$ is a trap marked at $M_{0}$.
(b) A live free-choice system $\left(N, M_{0}\right)$ is bounded iff every minimal siphon of $N$ is a trap marked at $M_{0}$.

## Solution:

(a) $(\Rightarrow)$ Let $\left(N, M_{0}\right)$ be a live and bounded free-choice system. Then $N$ is well-formed, and by Proposition 6.3.1, every minimal siphon of $N$ is a trap. By Commoner's Liveness Theorem, every minimal siphon contains a trap marked at $M_{0}$, therefore every minimal siphon of $N$ is also a trap marked at $M_{0}$.
$(\Leftarrow)$ Let $\left(N, M_{0}\right)$ be a bounded free-choice system where every minimal siphon of $N$ is a trap marked at $M_{0}$. Then every minimal siphon contains a trap marked at $M_{0}$, and by Commoner's Liveness Theorem, the system is live.
(b) The $(\Rightarrow)$ direction holds as in (a), however the other direction does not. Even though we can infer with Proposition 6.3.1 that every minimal siphon generates an S-component of $N$, we can not show that every place belongs to a minimal siphon and therefore to an S-component, which would be necessary for Hack's Boundedness Theorem.

The following live free-choice system is a counterexample for this conjecture. It has no minimal siphons, therefore every minimal siphon is a trap marked at $M_{0}$, however it is unbounded.


## Exercise 6.4 Reducing SAT to reachability in free-choice systems

Reduce the satisfiability problem for boolean formulas in conjunctive normal form to the reachability problem in free-choice systems.

For that, give a polynomial time translation that, for a given formula $\varphi$, produces a free-choice system ( $N, M_{0}$ ) and a marking $M$ such that $\varphi$ is satisfiable iff $M$ is reachable in $\left(N, M_{0}\right)$. Describe your reduction informally and give the resulting Petri net when applying it to the formula below.

$$
\varphi=\left(\neg x_{1} \vee \neg x_{2} \vee x_{3}\right) \wedge\left(x_{2} \vee \neg x_{3}\right)
$$

## Solution:

We use places $x_{i}$ for each variable and transitions to choose their assignment. Places $c_{j}$ for each clause get marked if the assignment makes that clause true. A transition for each clause can remove additional tokens from the clause places. The target marking $M$ is given by $M\left(c_{j}\right)=1$ for each clause place $c_{j}$ and $M(s)=0$ for all other places $s . M$ is reachable if and only if all clauses can be made true by an assignment of the variables, i.e., $\varphi$ is satisfiable.

For the given formula, we get the following free-choice system and the target marking with one token in $c_{1}$ and $c_{2}$ each and no tokens elsewhere.


## Exercise 6.5 Unfoldings

Consider the transition systems below, with the synchronization constraint $\mathbf{T}$ :


$$
\mathbf{T}=\left\{\left\langle t_{1}, \epsilon, v_{1}\right\rangle,\left\langle t_{2}, \epsilon, \epsilon\right\rangle,\left\langle\epsilon, u_{1}, v_{2}\right\rangle,\left\langle\epsilon, u_{2}, \epsilon\right\rangle,\left\langle\epsilon, \epsilon, v_{3}\right\rangle,\left\langle\epsilon, \epsilon, v_{4}\right\rangle\right\}
$$

The following Petri net represents the product of the transition systems:


Using the search strategy $[w] \prec\left[w^{\prime}\right] \Leftrightarrow|w|<\left|w^{\prime}\right|$ for Mazurkiewicz traces $w, w^{\prime}$, compute the finite and complete prefix of the unfolding of this product.

## Solution:

The following is the unfolding of the net with above search strategy. Each event is labeled with its associated transition and in blue the global state reached by firing the local configuration of the event. Terminal events are also colored blue.


