

# Solution

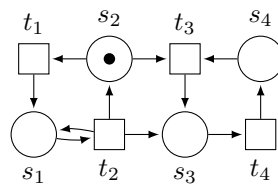
## Petri nets – Homework 5

Discussed on Thursday 11<sup>th</sup> June, 2015.

*For questions regarding the exercises, please send an email to meyerphi@in.tum.de or just drop by at room 03.11.042.*

### Exercise 5.1    Marking equation

(a) Construct the incidence matrix  $\mathbf{N}$  of the following Petri net:



(b) Use the marking equation to decide whether the following markings are not reachable, or may be reachable. Does it make a difference whether the solution space is restricted to the natural numbers or to the rationals?

$$M_1 = (0, 0, 0, 0)$$

$$M_2 = (1, 1, 1, 1)$$

$$M_3 = (1, 4, 1, 1)$$

### Solution:

(a) The following is the incidence matrix of the Petri net:

$$\mathbf{N} = \begin{matrix} & \begin{matrix} t_1 & t_2 & t_3 & t_4 \end{matrix} \\ \begin{matrix} s_1 \\ s_2 \\ s_3 \\ s_4 \end{matrix} & \begin{pmatrix} 1 & 0 & 0 & 0 \\ -1 & 1 & -1 & 0 \\ 0 & 1 & 1 & -1 \\ 0 & 0 & -1 & 1 \end{pmatrix} \end{matrix}$$

(b) For each  $M$ , we need to solve the marking equation  $M = M_0 + \mathbf{N} \cdot X$  for  $X$ . We can solve the system of linear equations  $\mathbf{N} \cdot X = M - M_0$  simultaneously for  $M_1$ ,  $M_2$  and  $M_3$ :

$$\left( \begin{array}{cccc|ccc} 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ -1 & 1 & -1 & 0 & -1 & 0 & 3 \\ 0 & 1 & 1 & -1 & 0 & 1 & 1 \\ 0 & 0 & -1 & 1 & 0 & 1 & 1 \end{array} \right) \rightsquigarrow \left( \begin{array}{cccc|ccc} 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 0 & 2 & 2 \\ 0 & 0 & 1 & 0 & 1 & 1 & -2 \\ 0 & 0 & 0 & 1 & 1 & 2 & -1 \end{array} \right)$$

We obtain the (in this case unique) solutions  $X_1 = (0, 0, 1, 1)$ ,  $X_2 = (1, 2, 1, 2)$  and  $X_3 = (1, 2, -2, -1)$ . When looking at solutions over the rationals, we can not make any statement about the reachability of the markings. However,  $X_3$  is no solution over the natural numbers, and there is no other solution, so we can conclude that  $M_3$  is not reachable.  $M_1$  and  $M_2$  may or may not be reachable (in fact,  $M_1$  is not reachable, but  $M_2$  is reachable).

### Exercise 5.2    Marking equation in acyclic nets

Show the following: In a Petri net  $(N, M_0)$  which is structurally acyclic (there is no directed cycle in the net  $N$ ), a marking  $M$  is reachable from  $M_0$  iff there exists a nonnegative integer solution  $X$  satisfying the marking equation  $M = M_0 + \mathbf{N} \cdot X$

**Solution:** Necessity follows directly from the marking equation lemma, only sufficiency remains to be shown.

We show: For any two markings  $M_s$  and  $M_d$  and  $X \geq 0$ , if  $M_d = M_s + \mathbf{N} \cdot X$ , then  $M_d$  is reachable from  $M_s$ . We show this by induction on  $n := \sum_{t \in T} X(t)$ .

*Induction base:*  $n = 0$ . Then  $X = 0$  and  $M_d = M_s$ .

*Induction hypothesis:* Let  $n > 0$  and assume that for all  $M'_d, M'_s$  and  $X' \geq 0$  with  $\sum_{t \in T} X'(t) < n$  and  $M'_d = M'_s + \mathbf{N} \cdot X'$ ,  $M'_d$  is reachable from  $M'_s$ .

As  $n > 0$ , there is a  $t$  with  $X(t) > 0$ . The net is acyclic, so from all  $t$  with  $X(t) > 0$ , let this  $t$  be one which is minimal with regard to the topological order between them, i.e., there is no  $t'$  with  $X(t') > 0$  such that there is a path from  $t'$  to  $t$ . Define  $Y \in \mathbb{N}^{|T|}$  with  $Y(t) := X(t) - 1$  and  $Y(u) := X(u)$  if  $u \neq t$ . We have  $X = Y + \vec{t}$  and so

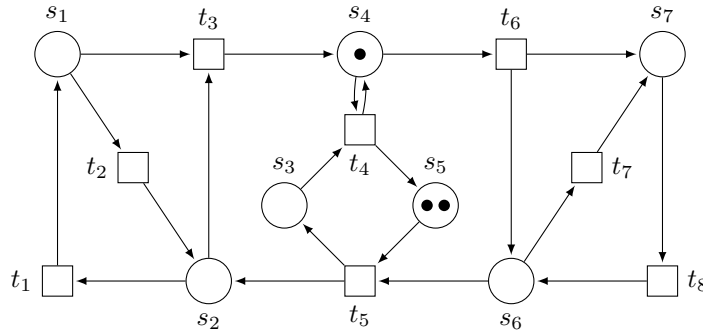
$$M_d = M_s + \mathbf{N} \cdot X = M_s + \mathbf{N} \cdot (Y + \vec{t}) = M_s + \mathbf{N} \cdot Y + \mathbf{N} \cdot \vec{t} \geq 0$$

For  $s \in \bullet t$  we have  $s \notin t^\bullet$  due to acyclicity, so  $(\mathbf{N} \cdot \vec{t})(s) = \mathbf{N}(s, t) = -1$ . None of the transitions in  $\langle Y \rangle \subseteq \langle X \rangle$  put tokens in  $s$ , so  $(\mathbf{N} \cdot Y)(s) \leq 0$ . With that we get  $M_s(s) \geq 1$ , so  $t$  is enabled at  $M_s$ . With  $M_s \xrightarrow{t} M'_s$  we have  $M'_s = M_s + \mathbf{N} \cdot \vec{t}$  and  $M_d = M'_s + \mathbf{N} \cdot Y$ . We can apply the induction hypothesis to  $M_d, M'_s$  and  $Y$  to obtain that  $M_d$  is reachable from  $M'_s$ . By extension,  $M_d$  is also reachable from  $M_s$ .

### Exercise 5.3 S-invariants and T-invariants

Give a basis of the space of S-invariants and a basis of the space of T-invariants of the following net. Does the net have positive S-invariants and T-invariants? Can you make any statements about the boundedness and liveness of the net based on the invariants?

*Hint:* Use the alternative definitions for S-invariants and T-invariants to find them more easily.



**Solution:**

A vector  $I$  is an S-invariant if  $I \cdot \mathbf{N} = 0$  or if  $\forall t \in T : \sum_{s \in \bullet t} I(s) = \sum_{s \in t^\bullet} I(s)$ . By the second definition, we obtain the following equations for an S-invariant  $I = (s_1, s_2, s_3, s_4, s_5, s_6, s_7)$ :

$$\begin{aligned} s_2 &= s_1 \\ s_1 &= s_2 \\ s_1 + s_2 &= s_4 \\ s_3 + s_4 &= s_4 + s_5 \\ s_5 + s_6 &= s_2 + s_3 \\ s_4 &= s_6 + s_7 \\ s_6 &= s_7 \\ s_7 &= s_6 \end{aligned}$$

These can be simplified and reduced to following equivalent set of equations:

$$\begin{aligned} s_1 &= s_2 = s_6 = s_7 \\ s_4 &= 2s_1 \\ s_3 &= s_5 \end{aligned}$$

By specifying  $s_1$  and  $s_3$ , the S-invariant is completely defined. As a basis, we obtain the following two S-invariants:

$$\begin{aligned} I_1 &= (1, 1, 0, 2, 0, 1, 1) \\ I_2 &= (0, 0, 1, 0, 1, 0, 0) \end{aligned}$$

A vector  $J$  is a T-invariant if  $\mathbf{N} \cdot J = 0$  or if  $\forall s \in S : \sum_{t \in \bullet_s} J(t) = \sum_{t \in s \bullet} J(t)$  or  $J = \vec{\sigma}$  for some occurrence sequence  $\sigma$  and marking  $M$  with  $M \xrightarrow{\sigma} M$  (fundamental property of T-invariants). With the third definition, we can identify the minimal sequences which return a marking to itself, which are  $\sigma_1 = t_1 t_2$ ,  $\sigma_2 = t_7 t_8$  and  $\sigma_3 = t_1 t_3 t_4 t_5 t_6 t_8$ . This gives us the following three T-invariants  $J = (t_1, t_2, t_3, t_4, t_5, t_6, t_7, t_8)$  as a basis:

$$\begin{aligned} J_1 &= (1, 1, 0, 0, 0, 0, 0, 0) \\ J_2 &= (0, 0, 0, 0, 0, 0, 1, 1) \\ J_3 &= (1, 0, 1, 2, 2, 1, 0, 1) \end{aligned}$$

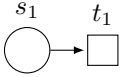
The S-invariant  $I_1 + I_2$  and the T-invariant  $J_1 + J_2 + J_3$  are positive invariants. As there is a positive S-invariant, we can conclude that the net is bounded. We can not make a statement about the liveness of the net, as having positive or certain semi-positive invariants are only necessary conditions for liveness. In fact, this net is not live, as firing  $t_6 t_8 t_5 t_5 t_1 t_3 t_6$  leads to a marking from which  $t_5$  is never enabled again.

**Exercise 5.4 Bounded net with no positive S-invariant**

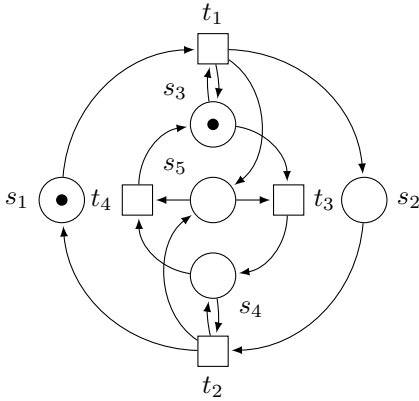
- (a) Exhibit a Petri net  $(N, M_0)$  which is bounded, but has no positive S-invariant.
- (b) As (a), but  $(N, M_0)$  is required to be live and bounded.

**Solution:**

- (a) The following net is bounded, but all S-invariants  $I$  need to satisfy  $I(s_1) = 0$ , so there is no positive S-invariant.



- (b) The following net, known from exercise 2.3(c), is live and bounded.



Any S-invariant  $I$  would need to satisfy

$$\begin{aligned} I(s_1) &= I(s_2) + I(s_5) \\ I(s_2) &= I(s_1) + I(s_5) \\ I(s_3) &= I(s_4) + I(s_5) \\ I(s_4) &= I(s_3) + I(s_5) \end{aligned}$$

and therefore  $I(s_5) = 0$ , so there is no positive S-invariant.

**Exercise 5.5 Reproduction lemma**

Let  $(N, M_0)$  be a bounded system and let  $M_0 \xrightarrow{\sigma}$  be an infinite occurrence sequence. Show the following:

- (a) There exists sequences  $\sigma_1, \sigma_2, \sigma_3$  such that  $\sigma = \sigma_1 \sigma_2 \sigma_3$ ,  $\sigma_2$  is not the empty sequence and

$$M_0 \xrightarrow{\sigma_1} M \xrightarrow{\sigma_2} M \xrightarrow{\sigma_3}$$

for some marking  $M$ .

- (b) There exists a semi-positive T-invariant  $J$  such that  $\langle J \rangle \subseteq \mathcal{A}(\sigma)$ , where  $\mathcal{A}(\sigma)$  is the set of transitions appearing in  $\sigma$ .

**Solution:**

- (a) Assume  $\sigma = t_1 t_2 t_3 \dots$ . Define  $M_0 \xrightarrow{t_1} M_1 \xrightarrow{t_2} M_2 \xrightarrow{t_3} \dots$ . By boundedness, the markings  $M_0, M_1, M_2, \dots$  cannot be pairwise different. Suppose  $M = M_i = M_j$  for two indices  $i, j$ ,  $0 \leq i < j$ . Define  $\sigma_1 = t_1 \dots t_i$ ,  $\sigma_2 = t_{i+1} \dots t_j$  and  $\sigma_3 = t_{j+1} t_{j+2} \dots$ . The sequence  $\sigma_2$  is not empty because  $i < j$ .
- (b) Take, with the notions of (a),  $J = \vec{\sigma}_2$ . The result then follows from the fundamental property of T-invariants because  $M \xrightarrow{\sigma_2} M$ .