

# Clause representation of CNF formulas

- **Clause**: set of literals (disjunction).

$\{A, B\}$  stands for  $(A \vee B)$ .

- **Formula**: set of clauses (conjunction).

$\{\{A, B\}, \{\neg A, B\}\}$  stands for  $((A \vee B) \wedge (\neg A \vee B))$ .

- **Block**: set of formulas (disjunction).

$\{F, G\}$  stands for  $(F \vee G)$ .

The empty clause stands for **false**.

The empty formula stands for **true**.

The empty block stands for **false**.

# The DPLL algorithm

- Developed by Davis, Putman, Loveland und Logemann
- Basis for the most efficient of today's solvers.
- Rules for transforming of blocks.
- If block  $B$  is transformed into  $B'$  then:

$B$  is satisfiable (contains a satisfiable formula)



$B'$  is satisfiable (contains a satisfiable formula)

- Using the rules we construct a sequence of blocks called a **derivation**.
- The first blocks contains only the formula to be checked.
- The formula is satisfiable iff the derivation ends with a block containing the empty formula.
- The formula is unsatisfiable iff the derivation ends with a block in which every formula contains the empty clause.

# The rules

## Simplification rules

- Reduce the number of clauses.
- Diverse variants of the algorithm which eliminate some rules or add others.
- The simplification rules are not compliting (i.e., there are formulas for which they alone cannot decide satisfiability).

## Splitting rule

- Increases the number of formulas.
- Guarantees completeness.

# Simplification rules

- **One-literal rule:**

Pick a formula of the form  $F = F' \cup \{L\}$ .

Remove all clauses of  $F$  containing  $L$ .

Remove all occurrences of  $\bar{L}$  in the remaining clauses.

- **Pure-literal rule:**

Pick a formula such that  $L$  does not occur in any clause of  $F$ .

Remove all clauses containing  $\bar{L}$ .

- **Subsumption rule:**

Pick a formula containing two clauses  $C, C'$  such that  $C \subseteq C'$ .

Remove  $C$ .

- **Clean-up rule:**

Remove all clauses of the form  $C \cup \{L, \bar{L}\}$ .

# Splitting rule

Pick an atomic formula  $A$  occurring in some formula  $F$ .

Replace  $F$  by  $F \cup \{A\}$  und  $F \cup \{\neg A\}$ .

Notice that the rule increases the number of formulas by 1.

# Derivations

- A **derivation** (from  $F$ ) is a sequence  $\{F\}, B_1, B_2 \dots$  of blocks constructed using the rules.
- A derivation is **maximal** if it is infinite or cannot be extended with a new block, i.e., no rule can be applied to its last block.
- A derivation is **successful** if it ends with a block containing the empty formula.
- A derivation is **unsuccessful** if it ends with a block in which every formula contains the empty clause.

# Correctness

**Lemma:** Let  $B_0, B_1, \dots, B_n$  be a derivation.

For every  $0 \leq i \leq n - 1$  the block  $B_i$  contains a satisfiable formula iff  $B_{i+1}$  contains a satisfiable formula.

**Lemma:** Every maximal derivation is finite.

**Lemma:** If  $F$  is satisfiable, then every maximal derivation from  $F$  is successful.

**Lemma:** If  $F$  is unsatisfiable, then every maximal derivation from  $F$  is unsuccessful.