

# Complexity Theory

Jan Křetínský

Technical University of Munich  
Summer 2019

May 22, 2019

# Lecture 9

NL

# Agenda

- about **logarithmic space**
- paths ...
- ... and the **absence** thereof
- Immerman-Szelepcsényi and others

## What can one do with logarithmic space?

In essence an algorithm can maintain a **constant** number of

- **pointers** into the input
  - for instance **node identities** (graph problems)
  - head positions
- **counters** up to input length

## What can one do with logarithmic space?

In essence an algorithm can maintain a **constant** number of

- **pointers** into the input
  - for instance **node identities** (graph problems)
  - head positions
- **counters** up to input length

Examples:

- **L**: basic arithmetic
- **NL**: paths in graphs

## Technical issues

- space usage refers to **work tapes** only
- **read-only** input and **write-once** output is allowed to use more than  $\log n$  cells
- write-once: output head must not move to the left
- **logspace reductions** (because polynomial time-reductions too powerful)

## Logspace reductions

### Definition (logspace reduction)

Let  $L, L' \subseteq \{0, 1\}^*$  be languages. We say that  $L$  is **logspace-reducible** to  $L'$ , written  $L \leq_{\log} L'$  if there is a function  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  computed by a **deterministic TM** using **logarithmic space** such that  $x \in L \Leftrightarrow f(x) \in L'$  for every  $x \in \{0, 1\}^*$ .

- $\leq_{\log}$  is **transitive**
- $C \in \mathbf{L}$  and  $B \leq_{\log} C$  implies  $B \in \mathbf{L}$
  
- **NL-hardness** and **NL-completeness** defined in terms of logspace reductions

## Logspace reductions

### Definition (logspace reduction)

Let  $L, L' \subseteq \{0, 1\}^*$  be languages. We say that  $L$  is **logspace-reducible** to  $L'$ , written  $L \leq_{\log} L'$  if there is a function  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  computed by a **deterministic TM** using **logarithmic space** such that  $x \in L \Leftrightarrow f(x) \in L'$  for every  $x \in \{0, 1\}^*$ .

- $\leq_{\log}$  is **transitive**
- $C \in \mathbf{L}$  and  $B \leq_{\log} C$  implies  $B \in \mathbf{L}$ 
  - Space does not bound time and output size: possibly  $|f(w)| \neq O(\log(|w|))$
- **NL-hardness** and **NL-completeness** defined in terms of logspace reductions



## Logspace reductions

### Definition (logspace reduction)

Let  $L, L' \subseteq \{0, 1\}^*$  be languages. We say that  $L$  is **logspace-reducible** to  $L'$ , written  $L \leq_{\log} L'$  if there is a function  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  computed by a **deterministic TM** using **logarithmic space** such that  $x \in L \Leftrightarrow f(x) \in L'$  for every  $x \in \{0, 1\}^*$ .

- $\leq_{\log}$  is **transitive**
- $C \in \mathbf{L}$  and  $B \leq_{\log} C$  implies  $B \in \mathbf{L}$ 
  - Space does not bound time and output size: possibly  $|f(w)| \neq O(\log(|w|))$
  - Compute  $f(x)$  on demand: store only current symbol and its cell number
- **NL-hardness** and **NL-completeness** defined in terms of logspace reductions

## Read-once Certificates

Similar to **NP**, also **NL** has a characterization using **certificates**

### Theorem (read-once certificates)

$L \subseteq \{0, 1\}^*$  is in **NL** iff there exists a *det. logspace TM*  $M$  (*verifier*) and a polynomial  $p : \mathbb{N} \rightarrow \mathbb{N}$  such that for every  $x \in \{0, 1\}^*$

$$x \in L \text{ iff } \exists u \in \{0, 1\}^{p(|x|)}. M(x, u) = 1$$

*Certificate*  $u$  is written on an additional *read-once* input tape of  $M$ .

## Read-once Certificates

Similar to **NP**, also **NL** has a characterization using **certificates**

### Theorem (read-once certificates)

$L \subseteq \{0, 1\}^*$  is in **NL** iff there exists a *det. logspace TM*  $M$  (*verifier*) and a polynomial  $p : \mathbb{N} \rightarrow \mathbb{N}$  such that for every  $x \in \{0, 1\}^*$

$$x \in L \text{ iff } \exists u \in \{0, 1\}^{p(|x|)}. M(x, u) = 1$$

*Certificate*  $u$  is written on an additional *read-once* input tape of  $M$ .

- example: **path** in a graph is a **read-once** certificate
- ⇒ certificate is sequence of **choices**
- ⇐ certificate is **guessed bit-wise** (it cannot be stored)

# Agenda

- about **logarithmic space** ✓
- paths ...
- ... and the **absence** thereof
- Immerman-Szelepcsényi and others

## NL is all about paths

Recall the language **Path** in **directed graphs** defined as

$$\{\langle G, s, t \rangle \mid \exists \text{a path from } s \text{ to } t \text{ in directed graph } G\}$$

## NL is all about paths

Recall the language **Path** in **directed graphs** defined as

$$\{\langle G, s, t \rangle \mid \exists \text{a path from } s \text{ to } t \text{ in directed graph } G\}$$

We have seen in Lecture 3 that **Path**  $\in$  **NL** by **guessing a path**:

- non-deterministic walks on graphs of  $n$  nodes
- if there is a path, it has length  $\leq n$
- maintain **one pointer** to current node
- **one counter** counting up to  $n$

## NL is all about paths

Recall the language **Path** in **directed graphs** defined as

$$\{\langle G, s, t \rangle \mid \exists \text{a path from } s \text{ to } t \text{ in directed graph } G\}$$

We have seen in Lecture 3 that **Path**  $\in$  **NL** by **guessing a path**:

- non-deterministic walks on graphs of  $n$  nodes
- if there is a path, it has length  $\leq n$
- maintain **one pointer** to current node
- **one counter** counting up to  $n$

In fact we even have:

### Theorem (Path)

**Path** is **NL**-complete.

## Proof

- let  $L \in \text{NL}$  be arbitrary, decided by NDTM  $M$



## Proof

- let  $L \in \text{NL}$  be arbitrary, decided by NDTM  $M$
- on input  $x \in \{0, 1\}^n$  reduction  $f$  outputs configuration graph  $G(M, x)$  of size  $2^{O(\log n)}$  by counting to  $n$

## Proof

- let  $L \in \text{NL}$  be arbitrary, decided by NDTM  $M$
- on input  $x \in \{0, 1\}^n$  reduction  $f$  outputs configuration graph  $G(M, x)$  of size  $2^{O(\log n)}$  by counting to  $n$
- there exists a path from  $C_{start}$  to  $C_{accept}$  in  $G(M, x)$  iff  $M$  accepts  $x$
- path itself can be used as read-once certificate

## More path problems

- many natural problems correspond to path (reachability) problems
- the **word problem** for NFAs:  $\{\langle A, w \rangle \mid w \text{ is accepted by NFA } A\}$
- **cycle detection/connected** components in directed graphs
- $\overline{2SAT} \in \text{NL}$

## More path problems

- many natural problems correspond to path (reachability) problems
- the **word problem** for NFAs:  $\{\langle A, w \rangle \mid w \text{ is accepted by NFA } A\}$
- **cycle detection/connected** components in directed graphs
- $\overline{2SAT} \in NL$ 
  - $x \vee y$  equivalent to  $\neg x \implies y$  equivalent to  $\neg y \implies x$
  - yields an **implication graph** (computable in logspace)
  - unsatisfiable **iff** there exists a path  $x \rightarrow \bar{x} \rightarrow x$  in implication graph for variable  $x$

## Certificates for absence of paths?

- recall the open problem  $\text{NP} = \text{coNP}$ ?
- equivalent to asking whether unsatisfiability has short certificates
- possibly not

## Certificates for absence of paths?

- recall the open problem  $NP = coNP$ ?
- equivalent to asking whether unsatisfiability has short certificates
- possibly not

What about absence of paths from  $s$  to  $t$  in graph  $G$  with  $n$  nodes named  $1, \dots, n$ ?

## Absence of path has read-once cert.!

- let  $C_i$  be the set of nodes reachable from  $s$  in at most  $i$  steps (bounded reachability)

## Absence of path has read-once cert.!

- let  $C_i$  be the set of nodes reachable from  $s$  in at most  $i$  steps (bounded reachability)
- membership in  $C_i$  has read-once certificates (paths)



## Absence of path has read-once cert.!

- let  $C_i$  be the set of nodes reachable from  $s$  in at most  $i$  steps (bounded reachability)
- membership in  $C_i$  has read-once certificates (paths)
- non-membership of  $v$  in  $C_i$  also has read-once certificates if  $|C_i|$  is known

## Absence of path has read-once cert.!

- let  $C_i$  be the set of nodes reachable from  $s$  in at most  $i$  steps (bounded reachability)
- membership in  $C_i$  has read-once certificates (paths)
- non-membership of  $v$  in  $C_i$  also has read-once certificates if  $|C_i|$  is known
  1. list all membership certificates for all  $u \in C_i$  sorted in ascending order
  2. check validity and sortedness
  3. check that  $v$  is not in the list
  4. check that the list has length  $|C_i|$

## Absence of path has read-once cert.!

- let  $C_i$  be the set of nodes reachable from  $s$  in at most  $i$  steps (bounded reachability)
- membership in  $C_i$  has read-once certificates (paths)
- non-membership of  $v$  in  $C_i$  also has read-once certificates if  $|C_i|$  is known
  1. list all membership certificates for all  $u \in C_i$  sorted in ascending order
  2. check validity and sortedness
  3. check that  $v$  is not in the list
  4. check that the list has length  $|C_i|$
- non-membership in  $C_i$  is known given  $|C_{i-1}|$  (checking neighbors in (3) as well)

## Absence of path has read-once cert.!

- let  $C_i$  be the set of nodes reachable from  $s$  in at most  $i$  steps (bounded reachability)
- membership in  $C_i$  has read-once certificates (paths)
- non-membership of  $v$  in  $C_i$  also has read-once certificates if  $|C_i|$  is known
  1. list all membership certificates for all  $u \in C_i$  sorted in ascending order
  2. check validity and sortedness
  3. check that  $v$  is not in the list
  4. check that the list has length  $|C_i|$
- non-membership in  $C_i$  is known given  $|C_{i-1}|$  (checking neighbors in (3) as well)
- $|C_i| = c$  can be certified given  $|C_{i-1}|$  using  $C_0 = \{s\}$  as base case

## Absence of path has read-once cert.!

- let  $C_i$  be the set of nodes reachable from  $s$  in at most  $i$  steps (bounded reachability)
- membership in  $C_i$  has read-once certificates (paths)
- non-membership of  $v$  in  $C_i$  also has read-once certificates if  $|C_i|$  is known
  1. list all membership certificates for all  $u \in C_i$  sorted in ascending order
  2. check validity and sortedness
  3. check that  $v$  is not in the list
  4. check that the list has length  $|C_i|$
- non-membership in  $C_i$  is known given  $|C_{i-1}|$  (checking neighbors in (3) as well)
- $|C_i| = c$  can be certified given  $|C_{i-1}|$  using  $C_0 = \{s\}$  as base case

Certificate is certificate for non-membership in  $C_n$ !

## Absence of path has read-once cert.!

- let  $C_i$  be the set of nodes reachable from  $s$  in at most  $i$  steps (bounded reachability)
- membership in  $C_i$  has read-once certificates (paths)
- non-membership of  $v$  in  $C_i$  also has read-once certificates if  $|C_i|$  is known
  1. list all membership certificates for all  $u \in C_i$  sorted in ascending order
  2. check validity and sortedness
  3. check that  $v$  is not in the list
  4. check that the list has length  $|C_i|$
- non-membership in  $C_i$  is known given  $|C_{i-1}|$  (checking neighbors in (3) as well)
- $|C_i| = c$  can be certified given  $|C_{i-1}|$  using  $C_0 = \{s\}$  as base case

Certificate is certificate for non-membership in  $C_n$ !

Its size is polynomial in number of nodes and read-once!

## NL algorithm for $\overline{PATH}$

$M =$  “On input  $\langle G, s, t \rangle$ :

1. Let  $c_0 = 1$ . [[  $A_0 = \{s\}$  has 1 node ]]
2. For  $i = 0$  to  $m - 1$ : [[ compute  $c_{i+1}$  from  $c_i$  ]]
3. Let  $c_{i+1} = 1$ . [[  $c_{i+1}$  counts nodes in  $A_{i+1}$  ]]
4. For each node  $v \neq s$  in  $G$ : [[ check if  $v \in A_{i+1}$  ]]
5. Let  $d = 0$ . [[  $d$  re-counts  $A_i$  ]]
6. For each node  $u$  in  $G$ : [[ check if  $u \in A_i$  ]]
7. Nondeterministically either perform or skip these steps:
8. Nondeterministically follow a path of length at most  $i$  from  $s$  and *reject* if it doesn't end at  $u$ .
9. Increment  $d$ . [[ verified that  $u \in A_i$  ]]
10. If  $(u, v)$  is an edge of  $G$ , increment  $c_{i+1}$  and go to stage 5 with the next  $v$ . [[ verified that  $v \in A_{i+1}$  ]]
11. If  $d \neq c_i$ , then *reject*. [[ check whether found all  $A_i$  ]]
12. Let  $d = 0$ . [[  $c_m$  now known;  $d$  re-counts  $A_m$  ]]
13. For each node  $u$  in  $G$ : [[ check if  $u \in A_m$  ]]
14. Nondeterministically either perform or skip these steps:
15. Nondeterministically follow a path of length at most  $m$  from  $s$  and *reject* if it doesn't end at  $u$ .
16. If  $u = t$ , then *reject*. [[ found path from  $s$  to  $t$  ]]
17. Increment  $d$ . [[ verified that  $u \in A_m$  ]]
18. If  $d \neq c_m$ , then *reject*. [[ check whether found all of  $A_m$  ]]  
 Otherwise, *accept*.”

$$\text{NL} = \text{coNL}$$

We have just argued the existence of **polynomial read-once certificates** for **absence** of paths.

**Theorem (Immerman-Szelepcsényi)**

$$\text{NL} = \text{coNL}.$$



## Further Reading

- paths in **undirected graphs** is in **L**
  - *Omer Reingold* **Undirected ST-Connectivity in Log-Space**, STOC 2005
  - available from

<http://www.wisdom.weizmann.ac.il/~reingold/publications/sl.ps>

- an alternative characterization of **NL** by **reachability** is at the heart of **descriptive complexity**
  - **NL** is first-order logic **plus transitive closure**
  - *Neil Immerman*, **Descriptive Complexity**, Springer 1999.

## What have we learnt?

- space classes **closed under complement**
  - so are **context-sensitive** language (see exercises)
- analogous results for time complexity unlikely
- space classes **beyond logarithmic** closed under **non-determinism**
- **NL** is all about **reachability**
- $\overline{2SAT}$  is in **NL** and thus also **2SAT** (in fact, hard for **NL**)
- **NL** has polynomial **read-once** certificates
- logarithmic space  $\sim$  **constant** number of **pointers** and **counters**

Up next: the polynomial hierarchy **PH**