

# Solution

## Computational Complexity – Homework 4

Discussed on 8 May 2016.

### Exercise 4.1

Let us denote  $\mathbf{DP} = \{L \mid \exists M, N \in \mathbf{NP} : L = M \setminus N\}$  the class of languages that are differences of two NP languages.

- Show that  $C = \{\langle G_1, k_1, G_2, k_2 \rangle \mid G_1 \text{ has a } k_1\text{-clique and } G_2 \text{ does not have any } k_2\text{-clique}\}$  is DP-complete.
- Show that  $\text{MAX-CLIQUE} = \{\langle G, k \rangle \mid \text{the largest clique of } G \text{ is of size exactly } k\}$  is DP-complete.
- It is unknown whether  $\text{MAX-CLIQUE}$  is in  $\mathbf{NP}$ . Show that if  $\mathbf{P} = \mathbf{NP}$  then  $\text{MAX-CLIQUE}$  is in  $\mathbf{NP}$  and a largest clique can be found in polynomial time.

### **Solution:**

- Let us define

$$C_1 := \{\langle G_1, k_1, G_2, k_2 \rangle \mid G_1 \text{ has a } k_1\text{-clique and } G_2 \text{ is any graph and } k_2 \geq 0\}$$

and

$$C_2 := \{\langle G_1, k_1, G_2, k_2 \rangle \mid G_2 \text{ has a } k_2\text{-clique and } G_1 \text{ is any graph and } k_1 \geq 0\}$$

Both these languages are NP-complete as we have already seen (they are both just the clique problem with unconstrained extra data attached to each)

Then  $C = C_1 \setminus C_2$  and so  $C \in \mathbf{DP}$ .

Now we show that  $C$  is DP-hard. Suppose that  $L \in \mathbf{DP}$ . It must be the case that  $L = L_1 \setminus L_2$  where  $L_1, L_2 \in \mathbf{NP}$  (by definition). By the NP-completeness of the clique problem, we must thus have polynomial-time reductions  $f_1$  and  $f_2$  from  $L_1$  and  $L_2$  to respectively to the clique problem.

Thus we can define a polynomial-time reduction  $f$  from  $L$  to  $C$  by:

$$f(w) := \langle f_1(w), f_2(w) \rangle$$

This is clearly computable in polynomial time (since both  $f_1(w)$  and  $f_2(w)$  are), and moreover we have  $w \in L$  iff  $f(w) \in C$  since  $w \in L$ , iff  $w \in L_1$  and  $w \notin L_2$ , iff  $f_1(w) \in \text{CLIQUE}$  and  $f_2(w) \notin \text{CLIQUE}$  (since  $f_1$  and  $f_2$  are reductions to  $\text{CLIQUE}$ ), iff  $f(w) \in C$ .

- To see that  $\text{MAX-CLIQUE}$  is in  $\mathbf{DP}$ , observe that the problem  $\text{CLIQUE}^+ := \{\langle G, k \rangle \mid G \text{ has a } k\text{-clique}\}$  is in  $\mathbf{NP}$ . It is then the case that  $\text{MAX-CLIQUE}$  is equal to  $\text{CLIQUE} \setminus \text{CLIQUE}^+$ .

To show that  $\text{MAX-CLIQUE}$  is DP-hard, we show that there is a polynomial time reduction from  $C$  (in the previous part) to  $\text{MAX-CLIQUE}$ .

Consider a tuple  $\langle G_1, k_1, G_2, k_2 \rangle$ . We define a pair  $\langle G, k \rangle$  that can be computed from the tuple in polynomial time such that  $\langle G_1, k_1, G_2, k_2 \rangle \in C$  iff  $\langle G, k \rangle \in \text{MAX-CLIQUE}$ .

Let  $N_1, N_2$  be respectively the node sets of  $G_1$  and  $G_2$  and  $E_1, E_2$  their respective edge relations. Let  $K_{k'}$  be the clique of size  $k'$ .

Consider first the graph  $G'_1 := (N'_1, E'_1)$ , where  $N'_1 := [1, k_1] \times N_1$  and  $E'_1 := \{((i, u), (i+1, v)) \mid i \in [1, k_1] \text{ and } (u, v) \in E_1\}$ . By construction, no clique of  $G'_1$  can be bigger than  $k_1$ , and it will have a clique of size  $k_1$  iff  $G_1$  also has a clique of size  $k_1$ .

For  $r \in \mathbb{N}$ , we extend  $G'_1$  to a graph  $G_1^r$  by adding an instance of  $K_r$  and then an edge from each node in this instance of  $K_r$  to each node in the original  $G'_1$ . The graph  $G_1^r$  will now have a clique of size  $k_1 + r$  iff  $G_1$  has a clique of size  $k_1$ , and moreover no clique of  $G_1^r$  can be bigger than  $k_1 + r$ . That is,  $G_1$  has a clique of size  $k_1$ , iff the maximum-sized clique in  $G_1^r$  has size  $k_1 + r$ .

We now define the graph  $G_2^r$  (i) first in a similar way to  $G_1^r$ , replacing  $k_1$  with  $k_2$ ,  $N_1$  with  $N_2$  and  $E_1$  with  $E_2$ , and then in addition (ii) adding a fresh disjoint instance  $K_{k_2+r-1}$ .

It will then be the case that  $G_2^r$  has a  $(k_2 + r)$ -clique iff  $G_2$  has a  $k_2$ -clique. Moreover, it is certain that  $G_2^r$  has a  $k_2 + r - 1$  sized clique and that it has no clique larger than  $k_2 + r$ . Thus the maximal clique of  $G_2^r$  has size  $k_2 + r$  iff  $G_2$  has a  $k_2$ -clique.

If  $k_1 > k_2$  we can thus take  $G' := G_1^0 \times G_2^{k_1-k_2}$ , and otherwise  $G' := G_1^{k_2-k_1} \times G_2^0$ .

#### Exercise 4.2

- (a) Assume that  $\mathbf{P}=\mathbf{NP}$ . Show that then  $\mathbf{EXP}=\mathbf{NEXP}$ .

*Remark:* Assume that  $L$  is decided by some TM running in time  $T(n)$  with  $T(n)$  time-constructible and  $T(n) \in \mathcal{O}(2^{n^c})$  for some  $c \geq 1$ . Show that then

$$L_{\text{pad}} := \{x10^{T(|x|)}1 \mid x \in L\} \in \mathbf{NP}.$$

- \* (b) Show that also  $\mathbf{EXP}=\mathbf{NEXP}$  if only every unary  $\mathbf{NP}$ -language is also in  $\mathbf{P}$ .

*Remark:* For  $x \in \{0, 1\}^*$  let  $\langle x \rangle$  be the natural number represented by  $x$  assuming lsbf. Given a language  $L$  which is decided in time  $T(n)$  (with  $T(n)$  time-constructible) show that

$$L_{\text{upad}} = \{1^{(x10^{|T(n)|}1)} \mid x \in L\} \in \mathbf{NP}$$

with  $|T(n)| (\approx \lceil \log T(n) \rceil)$  the length of the lsbf representation of  $T(n)$ .

**Solution:** Let  $L \in \mathbf{NEXP}$  be decided the NTM by  $N$  in time  $T(n) \in \mathcal{O}(2^{n^c})$  for some  $c \geq 1$ . Further, let  $M_T$  be the TM that computes  $x \mapsto \text{lsbf}(T(|x|))$  in time  $T(|x|)$ .

We claim  $L_{\text{pad}} \in \mathbf{NP}$ : (If a “check” fails, we reject the input.)

- On input  $y = 1^m$  first compute  $w = \text{lsbf}(m)$ .
- Then check that  $w = z10^k1$  for some  $z \in \{0, 1\}^*$  and  $k \in \mathbb{N}$ .
- Next, simulate  $M_T$  on input  $z$  for exactly  $2^{k+1}$  steps and check that the halting configuration is reached.

Note that

$$m = \langle w \rangle = \langle z10^k1 \rangle \geq \langle 0^k1 \rangle = 2^{k+1}.$$

- As  $M_T$  terminates, its output is  $\text{lsbf}(T(|z|))$ . Check that  $k = |T(|z|)|$ .
- Now simulate  $N$  on  $z$  for exactly  $2^{k+1}$  steps. As

$$2^{k+1} = 2^{|T(n)|+1} \geq T(n)$$

the simulation reaches the halting configuration and therefore decides whether  $z \in L$  or not.

As we assume that every unary language in **NP** is also in **P**, we also find a TM  $M$  which decides  $L_{\text{pad}}$  in polynomial time. From  $M$  we obtain a TM  $M'$  which decides  $L$  in **EXP**:

- For input  $x$  ( $n = |x|$ ) first compute  $\text{lsbf}(T(n))$  in time  $T(n)$ .
- Then generate  $w = x10^{|T(n)|}1$  in time  $n + 2 + |T(n)| = n + 2 + \lceil \log T(n) \rceil$ .
- Finally, generate  $y = 1^{(w)}$ . Note that

$$|y| = \langle w \rangle = \langle x10^{|T(n)|}1 \rangle \leq \langle 0^{|w|}1 \rangle = 2^{|w|+1} = 2^{2+n+|T(n)|+1} \leq 2^{n+4} \cdot T(n).$$

- Now use  $M'$  to decide whether  $y \in L_{\text{pad}}$  or not.

### Exercise 4.3

Is there a language in  $\mathbf{DSpace}(2^{2^{\mathcal{O}(n)}})$  that is not in **EXPSpace** and not **NP-hard** (assuming  $\mathbf{P} \neq \mathbf{NP}$ )?

**Solution:** The idea of the solution is to note that the hardest language in  $\mathbf{DSpace}(2^{2^{\mathcal{O}(n)}})$  stays hard even after unary encoding.

Then language of unary encodings of such numbers  $n$  that the  $n$ -th Turing machine stops on empty input using no more than  $2^{2^{2^n}}$  cells cannot be in **EXPSpace** because the diagonal construction applies here.

But if a unary language is **NP-hard**, then  $\mathbf{P} = \mathbf{NP}$ .

### Exercise 4.4

- Is the following problem in  $\mathbf{DTIME}(2^{\mathcal{O}(n)})$ ?

A function  $f : \{1, \dots, n\} \times \{1, \dots, n\} \rightarrow \{1, \dots, n\}$  is given as a table of values. Is there a sequence of  $n$  values  $x_1, \dots, x_n \in \{1, \dots, n\}$  such that  $f(x_1, f(x_2, \dots f(x_{n-1}, x_n) \dots)) = n$ ?

- Is the following problem in  $\mathbf{DTIME}(2^{\mathcal{O}(n)})$ ?

Multiplying an  $n \times m$  matrix by an  $m \times l$  matrix yields an  $n \times l$  matrix and is implemented with time complexity  $C \times m \times n \times l$  (the constant  $C$  is known).

Given the number of steps  $T$  and the number of matrices  $k$  (both written in unary), determine whether there are  $k$  matrices that can be multiplied in  $T$  steps but not  $0.9T$  steps.

**Solution:**

### Exercise 4.5

Say that  $A$  is *linear-time reducible* to  $B$  if there is function  $f$  computable in time  $\mathcal{O}(n)$  such that  $x \in A \Leftrightarrow f(x) \in B$ .

- Show that there is no **P-complete** problem w.r.t. linear-time reductions.

*Hint:* Use the time hierarchy theorem for **DTIME**.

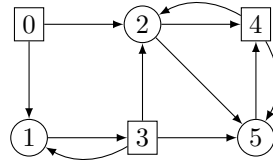
### Exercise 4.6

A *two-person game* consists of a directed graph  $G = (V_0, V_1, E)$  (called the *game graph*) whose nodes  $V := V_0 \cup V_1$  are partitioned into two sets and a *winning condition*. We assume that every node  $v \in V$  has a successor. The two players are called for simplicity player 0 and player 1. A *play* of the two is any finite or infinite path  $v_1 v_2 \dots$  in  $G$  where  $v_1$  is the starting node. If the play is currently in node  $v_i$  and  $v_i \in V_0$ , then we assume that it is the turn of player 0 to choose  $v_{i+1}$  from the successors of  $v_i$ ; if  $v_i \in V_1$ , player 1 determines the next move. The winning condition defines when a play is won by player 0. E.g.:

- In a *reachability game* the winning condition is simply defined by a subset  $T \subseteq V_0 \cup V_1$  (*targets*) of the nodes of  $G$ , and a play is won by player 0 if it visits  $T$  within  $n - 1$  moves (where  $n$  is the total number of nodes of  $G$ ). Hence, player 1 wins a play if he can avoid visiting  $T$  for at least  $n - 1$  moves.
- In a *revisiting game* player 0 wins a play  $v_1 v_2 \dots$  if the first node  $v_i$  which is visited a second time belongs to player 0, i.e.,  $v_i \in V_0$ ; otherwise player 1 wins the play.

We say that *player  $i$  wins node  $s$*  if he can choose his moves in such a way that he wins any play starting in  $s$ .

*Example:* Consider the following game graph where nodes of  $V_0$  ( $V_1$ ) are of circular (rectangular) shape:



In the reachability game with  $T = \{5\}$  player 0 can win node 4: if player 1 moves from 4 to 5, player 0 immediately wins; if player 1 moves from 4 to 2, then player 0 can win again by moving from 2 to 5. On the other hand, player 1 can win node 0 by choosing to always play from 0 to 1 and from 3 to 1.

In the revisiting game played on the same game graph, player 0 can win node 2: he moves from 2 to 5 and then on to 4; no matter how player 1 then chooses to move, the play will end in an already visited node which belongs to player 0. Player 1 can e.g. win node 3 by simply moving to node 1.

(a) Consider a reachability game:

Show that one can decide in time polynomial in  $\langle G, s, T \rangle$  if player 0 can win node  $s$ .

*Hint:* Starting in  $T$  compute the set of nodes from which player 0 can always reach  $T$  no matter how player 1 chooses his moves.

(b) Consider a revisiting game and the decision problem: for a given game graph  $G$  and node  $s$  determine whether player 0 can win  $s$ .

Show that this decision problem is in **PSPACE**.

(c) Show that this decision problem is **PSPACE**-complete.

*Remarks:*

- A game is called *determined* if every node is won by one of the two players.  
Are reachability, resp. revisiting games determined?
- Assume that we change the definition of reachability game by dropping the restriction on the number of moves, i.e., player 0 wins a play if the play eventually reaches a state in  $T$ .  
Does this change the nodes player 0 can win for a given game graph?

**Solution:**

(a) Let

$$A_0(X) := \{v \in V_0 \mid vE \cap X \neq \emptyset\} \cup \{v \in V_1 \mid vE \subseteq X\}.$$

and

$$W_0 := \bigcup_{k \geq 0} A_0^k(T).$$

Note that  $A_0(X)$  can be computed in time  $|V||E|$  and  $W_0$  in time  $|V|^2|E|$  as we can include at most  $|V|$  many nodes.

Induction on  $k$  shows that player 0 can win any node in  $A_0^k(T)$  by simply playing to some node in  $A_0^{k-1}(T)$ . Any such play has trivially length at most  $n - 1$  (assuming  $T$  is not empty).

Consider any node  $v \notin W_0$  and consider any play from  $v$  which reaches  $T$ . There is some smallest  $i$  such that  $v_i \notin W_0$  and  $v_{i+1} \in W_0$ . As player 0 can win any node in  $W_0$ , we can assume that the remaining play stays in  $W_0$ . If  $v_i$  was in  $V_0$ , then by definition of  $A_0(W)$  we also would have  $v_i \in A_0(W_0) = W_0$ . So,  $v_i \in V_1 \cap W_0$ . Hence, player 1 can find a successor of  $v_i$  which is not contained in  $W_0$ , i.e., player 1 can always evade entering  $W_0 \supset T$ .

$W_0$  is therefore the set of nodes which player 0 can win and  $V \setminus W_0$  is the set of nodes which player 1 can win. In particular, reachability games are determined. Note that we didn't really use the restriction

- (b)  $v$  is won by player 0 iff we do not find a play which is won by player 1. Any play has length at most  $n$ . So, for a given node  $v$ , we can enumerate all possible plays in polynomial space and, hence, decided whether  $v$  is won by player 0.

One can also show that the revisiting game is determined: Consider the enlarged game graph, where nodes correspond to plays of length at most  $n$ . We have an edge from  $v_1v_2 \dots v_k$  to  $v_1v_2 \dots v_kv_{k+1}$  iff  $(v_k, v_{k+1}) \in E$ . Set now as target set the sequences which revisit a node of  $V_0$  for the first time. Then player 0 wins  $v$  in the revisiting game on the original game graph iff he wins  $v$  in the reachability game on the enlarged game graph with target set  $T$ . As the reachability game is determined, the revisiting game is determined too.