

Complexity Theory

Jan Křetínský

Chair for Foundations of Software Reliability
and Theoretical Computer Science
Technical University of Munich
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Lecture 11

Lower Bounds for SAT

Agenda

- big picture
- **TISP**
- lower bound for satisfiability

What is complexity all about?

- formalize the notion of **computation**
- **resource consumption** of computations
- depending on **input size**
- in the **worst-case**
- computing **precise solutions**

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complexity classes
separation
lower bounds

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Situation similar for many **NP**-complete problems.

What about restricting **time and space** simultaneously?

TISP

Definition (TISP)

Let $S, T : \mathbb{N} \rightarrow \mathbb{N}$ be constructible functions. A language $L \subseteq \{0, 1\}^*$ is in the complexity class $\mathbf{TISP}(T(n), S(n))$ if there exists a TM M deciding L in time $T(n)$ and space $S(n)$.

Note: $\mathbf{TISP}(T(n), S(n)) \neq \mathbf{DTIME}(T(n)) \cap \mathbf{SPACE}(S(n))$

Agenda

- big picture ✓
- **TISP** ✓
- lower bound for satisfiability
- big picture

Lower Bound for Satisfiability

Theorem

$SAT \notin TISP(n^{1.1}, n^{0.1})$.

In order to decide SAT we need

- either more than linear time
- or more than logarithmic space
- due to completeness this translates to any other problem in NP
- stronger results known (see further reading)

Proof – Big Picture

Proof is **by contradiction**. So assume

0. $\text{SAT} \in \text{TISP}(n^{1.1}, n^{0.1})$
1. This implies $\text{NTIME}(n) \subseteq \text{TISP}(n^{1.2}, n^{0.2})$
2. This implies $\text{NTIME}(n^{10}) \subseteq \text{TISP}(n^{12}, n^{0.2})$ by padding
3. 1. also implies $\text{NTIME}(n) \subseteq \text{DTIME}(n^{1.2})$
4. which implies $\Sigma_2\text{TIME}(n^8) \subseteq \text{NTIME}(n^{9.6})$
5. separately we can show $\text{TISP}(n^{12}, n^2) \subseteq \Sigma_2\text{TIME}(n^8)$
6. (2,4,5) together establish $\text{NTIME}(n^{10}) \subseteq \text{NTIME}(n^{9.6})$
contradicting the **non-deterministic time hierarchy** theorem

Proof – Part 1

- can be proven by careful observation of the Cook-Levin reduction.
- problem decided in $\text{NTIME}(T(n))$ can be formulated as satisfiability problem of size $T(n) \log(T(n))$
- every output bit of reduction computable in polylogarithmic time and space
- hence if $\text{SAT} \in \text{TISP}(n^{1.1}, n^{0.1})$ then $\text{NTIME}(n) \subseteq \text{TISP}(n^{1.2}, n^{0.2})$

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- define $L' = \{x1^{|x|^{10}} \mid x \in L\}$
- then $L' \in \text{NTIME}(n)$
- by **part 1** of proof: $L' \in \text{TISP}(n^{1.2}, n^{0.2})$
- thus $L \in \text{TISP}(n^{12}, n^2)$

Proof – Part 3

By definition of **TISP**.

Proof – Part 4

Definition

A language L is in $\Sigma_2\text{TIME}(n^8)$ iff there exists a TM M running in time $O(n^8)$ and constants c, d such that

$$x \in L \text{ iff } \exists u \in \{0, 1\}^{c|x|^8} . \forall v \in \{0, 1\}^{d|x|^8} . M(x, u, v) = 1$$

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- by premise we obtain $\overline{L'} \in \text{DTIME}(n^{1.2*8})$ and also L'

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- let $L \in \Sigma_2\text{TIME}(n^8)$
- define $L' = \{(x, u) \mid \forall v \in \{0, 1\}^{d|x|^8} \cdot M(x, u, v) = 1\}$
- hence $\overline{L'} \in \text{NTIME}(n^8)$
- by premise we obtain $\overline{L'} \in \text{DTIME}(n^{1.2 \cdot 8})$ and also L'
- since $L = \{\exists u \in \{0, 1\}^{c|x|^8} \mid (x, u) \in L'\}$ we obtain $L \in \text{NTIME}(n^{9.6})$

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- where each configuration takes space $O(n^2)$
- this is the case iff
 - there exist configurations C_0, \dots, C_{n^6} such that
 - $C_0 = C_{start}, C_{n^6} = C_{accept}$
 - for all $1 \leq i \leq n^6$ C_{i+1} is reachable from C_i in n^6 steps

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 - $C_0 = C_{start}, C_{n^6} = C_{accept}$
 - for all $1 \leq i \leq n^6$ C_{i+1} is reachable from C_i in n^6 steps
- this implies $L \in \Sigma_2\text{TIME}(n^8)$
- which can be equivalently characterized using alternating TMs

Agenda

- big picture ✓
- **TISP** ✓
- lower bound for satisfiability ✓

Summary of today's result

- SAT cannot be decided in linear time and, simultaneously, logarithmic space
- neither can any other problem in NP
- lower bounds are hard
- nice combination of proof techniques
 - padding
 - reductions
 - splitting paths in the configuration graph

Further Reading

- AB, Theorem 5.11
- original lower bound by *Fortnow*, Time-space tradeoffs for satisfiability, CCC 1997.
- current record: $\text{SAT} \notin \text{TISP}(n^c, c^{O(1)})$ for any $c < 2 \cos(\pi/7)$
- by *R. Williams* Time-space tradeoffs for counting NP solutions modulo integers, CCC 2007.