# Complexity Theory 

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Based on slides by Jörg Kreiker

## Lecture 23

NC and AC scrutinized

## Recap

Efficient parallel computation

- computable by some PRAM with
- polynomially many processors in
- polylogarithmic time
- robust wrt to underlying PRAM model


## Recap

Efficient parallel computation

- computable by some PRAM with
- polynomially many processors in
- polylogarithmic time
- robust wrt to underlying PRAM model
corresponds to
small depth circuits
- of polynomial size
- polylogarithmic depth
- logspace uniform


## Recap - NC and AC

If $L \subseteq\{0,1\}^{*}$ is decided by a logspace-uniform family $\left\{C_{n}\right\}$ of polynomially sized circuits with bounded fan-in

- and depth $\log ^{k} n$ then $L \in N^{k}$ for $k \geq 0$
- $N C=\bigcup_{k \geq 0} N C^{k}$


## Recap - NC and AC

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If the fan-in is unbounded we obtain the corresponding AC hierarchy.

## Goal

Find the places of NC and AC among other complexity classes!

## Agenda

- NC versus AC
- NC versus P
- $\mathrm{NC}^{1}$ versus L
- $\mathrm{NC}^{2}$ versus NL


## Unbounded $\rightarrow$ bounded fan-in

Theorem
For all $k \geq 0$

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N C^{k} \subseteq A C^{k} \subseteq N C^{k+1}
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## Theorem

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## Proof

- first inclusion trivial
- for the second, assume $L \in A C^{k}$ by family $\left\{C_{n}\right\}$
- there exists a polynomial $p(n)$ such that
- $C_{n}$ has $p(n)$ gates with
- maximal fan-in of at most $p(n)$
- each such gate can be simulated by a binary tree of gates of the same kind with depth $\log (p(n))=O(\log n)$
$\Rightarrow$ the resulting circuit has size at most size $p(n)^{2}$, depth at most $\log ^{k+1} n$ and maximal fan-in 2


## Corollary

Theorem
$\mathrm{AC}=\mathrm{NC}$

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Theorem

## $\mathrm{AC}=\mathrm{NC}$

## Remarks

- the inclusions in the theorem on the previous slide are strict for $k=0$
- one strict inclusion is trivial, the other one is subject of the next lecture
- for practical relevance, we focus on bounded fan-in, ie. NC


## Agenda

- NC versus AC $\checkmark$
- NC versus P
- $\mathrm{NC}^{1}$ versus L
- $\mathrm{NC}^{2}$ versus NL


## NC versus $\mathbf{P}$

## Theorem

## $N C \subseteq P$

Proof

- let $L \in \mathbb{N C}$ by circuit family $\left\{C_{n}\right\}$
$\Rightarrow$ there exists a logspace TM M that computes
$M\left(1^{n}\right)=\operatorname{desc}\left(C_{n}\right)$
- the following P machine decides $L$
- on input $x \in\{0,1\}^{n}$ simulate $M$ to obtain $\operatorname{desc}\left(C_{n}\right)$
- $C_{n}$ has input variables $z_{1}, \ldots, z_{n}$
- evaluate $C_{n}$ under the assignment $\sigma$ that maps $z_{i}$ to the $i$ - th bit of $x$
- output $C_{n}(\sigma)$
- all steps take polynomial time (evaluation takes time proportional to circuit size)


## Remarks

- P equals the set of languages with logspace-uniform circuits of polynomial size and polynomial depth (exercise)
- it is an open problem whether the previous inclusion is strict
- in fact it is open whether $\mathrm{NC}^{1} \subset \mathrm{PH}$
- problem is important, since it answers whether all problems in P have fast parallel algorithms
- conjecture: strict


## Agenda

- NC versus AC $\checkmark$
- NC versus P $\sqrt{ }$
- $\mathrm{NC}^{1}$ versus L
- $\mathrm{NC}^{2}$ versus NL


## Proof Steps

1. logspace reductions are transitive
2. if $L \in N C^{1}$ then there exists a logspace uniform family of circuits $\left\{C_{n}\right\}$ of depth $\log n$
3. circuit evaluation of a circuit of depth $d$ and bounded fan-in can be done in space $O(d)$

What is the theorem?

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## Theorem

## $N^{1} \subseteq$. .

## Proof

- for a language $L \in \mathrm{NC}^{1}$, we can compute its circuits (step 2) in logspace
- we can evaluate circuits in logspace (step 3)
- the composition of these two algorithms is still logspace (step 1)
- steps 1 and 2 already proven


## Proof of Step 3

- evaluate the circuit recursively
- identify gates with paths from output to input node
- output node: $\epsilon$
- left predecessor of gate $\pi$ : $\pi .0$
- right predecessor of gate $\pi$ : $\pi .1$


## Proof of Step 3

- evaluate the circuit recursively
- identify gates with paths from output to input node
- output node: $\epsilon$
- left predecessor of gate $\pi$ : $\pi .0$
- right predecessor of gate $\pi$ : $\pi .1$
- 1. if $\pi$ is an input return value

2. if $\pi$ denotes an op gate, compute value of $\pi .0$, value of $\pi .1$ and combine

- recursive depth $\log n$, only one global variable holding current path: total $\log n$ space
- note that the naive recursion takes $\log ^{2} n$ space!


## Agenda

- NC versus AC $\checkmark$
- NC versus P $\sqrt{ }$
- $N^{1}$ versus $L \checkmark$
- $\mathrm{NC}^{2}$ versus NL


## The theorem

Theorem

## $\mathrm{NL} \subseteq \mathrm{NC}^{2}$

Proof outline

- show that Path $\in \mathrm{NC}^{2}$
- let $L \in \mathbb{N L}$ and NL machine $M$ deciding it; for a given input $x \in\{0,1\}^{*}$
- build a circuit $C_{1}$ computing the adjacency matrix of $M$ 's configuration graph on input $x$
- build a second circuit $C_{2}$ that takes this output and decides whether there is an accepting run
- the composition of $C_{1}$ and $C_{2}$ decides $L$
- observe: the composition can be computed in logspace


## Path $\in \mathrm{NC}^{2}$

- let $A$ be the $n \times n$ adjacency matrix of a graph
- let $B=A+I$ (add self loops)
- compute the square product $B^{2}$

$$
B_{i, j}^{2}=\bigvee_{k} B_{i, k} \wedge B_{k, j}
$$

- contains 1 iff there is a path of length at most 2
- can be done in $\mathrm{AC}^{0} \subseteq \mathrm{NC}^{1}$
- $\log n$ times repeated squaring
$\Rightarrow$ paths can be computed in $\mathrm{NC}^{2}$


## Agenda

- NC versus AC $\checkmark$
- NC versus P $\sqrt{ }$
- $\mathrm{NC}^{1}$ versus $L \checkmark$
- $\mathrm{NC}^{2}$ versus $\mathrm{NL} \checkmark$


## Criticism of NC

The notion of NC as efficient parallel computation may be criticized.

- polynomially many processors
- in the NC hierarchy a $\log n$ algorithm with $n^{2}$ processors is favored over one with $n$ processors and time $\log ^{2} n$
- expensive
- polylogarithmic depth
- for many practical inputs, sublinear algorithms might be as good or better
- e.g. $n^{0.1}$ is at most $\log ^{2} n$ for values up to $2^{100}$


## Summary

- $A C=N C$
- $N^{1} \subseteq L \subseteq N L \subseteq N^{2} \subseteq P$
- up next: $\mathrm{AC}^{0} \subset \mathrm{NC}^{1}$

