

## Complexity Theory – Final Exam

*Please note: If not stated otherwise, all answers have to be justified.*

Last name: \_\_\_\_\_

First name: \_\_\_\_\_

Student ID no.: \_\_\_\_\_

Signature: \_\_\_\_\_

### Remarks

- If you feel ill, let us know immediately.
- Fill in all the required information and don't forget to sign.
- Write with a non-erasable pen, do not use red or green color.
- You have 10 minutes to read the questions and, after that, 120 minutes to write your solutions.
- You are not allowed to use auxiliary means other than your pen.
- You may write your answers in English or German.
- Check if you received *8 sheets of paper*.
- Write your solutions directly into the exam booklet. Please ask if you need additional (scrap) paper. Only solutions written on official paper will be corrected.
- You can obtain *40 points*. You need *17 points* to pass *including* potential bonuses awarded.
- Don't fill in the table below.
- Good luck!

Ex1	Ex2	Ex3	Ex4	Ex5	Ex6	Ex7	$\Sigma$



**Exercise 1 True/False**

each 1P=10P

Points are awarded as follows:

	unsure <input type="checkbox"/>	unsure <input checked="" type="checkbox"/>
correct answer	1P	0.5P
wrong answer	-1P	-0.5P
no answer	0P	0P

*Remarks*

- All claims are definitely either true or false.
- Tick *unsure*  in case of doubt to reduce a potential point deduction.
- A negative total implies zero points awarded for this exercise.

	true	false	unsure
<b>PP</b> has complete problems.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
$\bigcup_{k \in \mathbb{N}} \mathbf{IP}[n^k] = \bigcup_{k \in \mathbb{N}} \mathbf{AM}[n^k]$ .	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
If $\mathbf{L} \neq \mathbf{P}$ , then $\mathbf{L}$ is closed under $\leq_p$ .	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Every probabilistic TM running in expected polynomial time decides a language in $\mathbf{ZPP} := \mathbf{RP} \cap \mathbf{coRP}$ .	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
If graph isomorphism is <b>NP</b> -complete, then $\Sigma_2^p = \Pi_2^p$ .	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3SAT has no polynomially-sized, interactive, zero-knowledge proofs.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
For every $L \in \mathbf{IP}$ there is an alternating TM deciding $L$ in polynomial time.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
If every unary language $L \in \mathbf{NP}$ is already in $\mathbf{P}$ , then $\mathbf{EXP} = \mathbf{NEXP}$ .	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
If $\mathbf{PH} = \mathbf{PSPACE}$ , then $\Sigma_k^p \subsetneq \Sigma_{k+1}^p$ for all $k \in \mathbb{N}$ .	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
$\mathbf{AC} = \mathbf{NC}$ .	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



**Exercise 2** “One-liners”

each 1P=8P

Give a short answer. For **Claims** state clearly whether they hold or not.

*Notation:* Let  $\text{polyL} := \bigcup_{k \in \mathbb{N}} \text{SPACE}((\log n)^k)$  be the class of languages decidable in poly-logarithmic space.

**Claim:**  $\text{polyL} \subsetneq \text{EXP}$ .

**Answer:** \_\_\_\_\_

**Question:** State Ladner’s theorem:

**Answer:** \_\_\_\_\_

**Claim:** Probably,  $\text{NP} \neq \text{PCP}(1/n \cdot \log n, 1)$  as otherwise ...

**Answer:** \_\_\_\_\_

**Question:** State a **coNL**-complete problem.

**Answer:** \_\_\_\_\_

**Question:** By what kind of certificates is **NL** characterized?

**Answer:** \_\_\_\_\_

**Question:** We know that unless  $\text{P} = \text{NP}$  there is some  $\rho \in (0, 1]$  s.t. MAX3SAT cannot be  $\rho$ -approximated in polynomial time. State a problem for which we know that this holds for every  $\rho \in (0, 1]$ .

**Answer:** \_\_\_\_\_

**Question:** The reduction in the proof by Cook-Levin takes a Turing machine  $M$  and an input  $x$  and maps it on some CNF formula  $\phi_{M;x}$ . Let  $N_{M;x}$  be the number of certificates  $u$  s.t.  $M(x, u) = 1$ . How is  $N_{M;x}$  related to  $\phi_{M;x}$ ?

**Answer:** \_\_\_\_\_

**Question:** State a language in  $\text{NC}^2 \setminus \text{AC}^0$  other than PARITY.

**Answer:** \_\_\_\_\_



**Exercise 3****2P+2P+2P=6P**

Consider the following set  $\mathcal{C}$  of complexity classes.

$$\mathcal{C} = \{\mathbf{NC}^2, \mathbf{L}, \mathbf{IP}, \mathbf{ZPP}, \mathbf{PCP}(\log n, 1), \Sigma_2^p \cap \Pi_2^p, \mathbf{BPP}, \mathbf{PH}\}$$

- (a) Draw a directed graph with nodes  $\mathcal{C}$  such that there is a path from  $A$  to  $B$  if  $A \subseteq B$ .
- (b) The “deterministic space hierarchy theorem” says that for any space-constructible functions  $f, g$  with  $f(n) \in o(g(n))$  we have

$$\mathbf{SPACE}(f(n)) \subsetneq \mathbf{SPACE}(g(n)).$$

Show that  $\mathbf{NL} \subsetneq \mathbf{IP}$ .

- (c) It is unknown whether  $\mathbf{P} = \mathbf{L}$  and whether  $\mathbf{P} = \mathbf{PSPACE}$ . However, not both equalities can hold at the same time.

Which classes in  $\mathcal{C}$  coincide

- i) under the assumption that  $\mathbf{P} = \mathbf{L}$ ?
- ii) under the assumption that  $\mathbf{P} = \mathbf{PSPACE}$ ?





## Exercise 4

2P+2P+2P+1P=7P

Given an undirected graph  $G = (V, E)$  we call  $C \subseteq V$  a *clique* of  $G$  if for any two distinct nodes  $u, v$  of  $C$  there is an edge  $(u, v) \in E$ . A clique  $C$  is *maximal* if  $C \cup \{u\}$  is not a clique for any  $u \in V \setminus C$ .

Consider the following decision and function problems related to cliques.

- $\text{CLIQUE}_D := \{\langle G, k \rangle \mid G \text{ has a clique of size at least } k\}$ .
- $\text{EXACTCLIQUE}_D := \{\langle G, k \rangle \mid \text{Every maximal clique in } G \text{ has size exactly } k\}$ .
- $\text{CLIQUE SIZE}(G) := \max\{|C| \mid C \text{ is a clique of } G\}$ .

**Remark:** You may assume that  $\text{CLIQUE}_D$  is **NP**-complete.

- (a) Show how to calculate  $\text{CLIQUE SIZE}(G)$  in time  $\mathcal{O}(T(n) \cdot \log n)$  under the assumption that  $\text{CLIQUE}_D$  can be decided in time  $T(n)$  for any graph  $G$  of size  $n$ .
- (b) Recall the definition of **DP**:

$$\mathbf{DP} := \{L_1 \cap L_2 \mid L_1 \in \mathbf{NP}, L_2 \in \mathbf{coNP}\}.$$

Show that  $\text{EXACTCLIQUE}_D$  is in **DP**.

- (c) You may assume that the following language,  $\text{EXACTINDSET}_D$ , is **DP**-complete:

$$\text{EXACTINDSET}_D := \{\langle G, k \rangle \mid \text{Any independent set of maximal size in } G \text{ has size exactly } k\}.$$

Show that  $\text{EXACTCLIQUE}_D$  is **DP**-complete.

- (d) Show that for no  $\rho \in (0, 1]$  there exists a poly-time  $\rho$ -approximation of  $\text{CLIQUE SIZE}$  unless  $\mathbf{P} = \mathbf{NP}$ .



**Exercise 5****4P**

A path  $v_0v_1 \dots v_k$  in a directed graph  $G = (V, E)$  with nodes  $V$  and edges  $E \subseteq V \times V$  is a *cycle* if  $v_k = v_0$ .

Define

$$\text{CYCLE} := \{ \langle G, v \rangle \mid v \text{ lies on a cycle of } G \}.$$

Show that CYCLE is **NL**-complete.



Explain the error in the following proof of  $\mathbf{P} \neq \mathbf{NP}$ :

- 1) Suppose  $\mathbf{P} = \mathbf{NP}$ .
- 2) Then for some  $k \in \mathbb{N}$ ,  $\text{SAT} \in \mathbf{DTIME}(n^k)$ .
- 3) As every language in  $\mathbf{NP}$  is reducible to  $\text{SAT}$ ,  $\mathbf{NP} \subseteq \mathbf{DTIME}(n^k)$ .
- 4) Due to the assumption  $\mathbf{P} = \mathbf{NP}$ ,  $\mathbf{P} \subseteq \mathbf{DTIME}(n^k)$ .
- 5) Then  $\mathbf{DTIME}(n^k) \subsetneq \mathbf{DTIME}(n^{k+1})$  is a contradiction with  $\mathbf{P} \subseteq \mathbf{DTIME}(n^k)$ .



Consider the following kind of computation:

Starting from a deterministic polynomial-time TM  $V$ , we extend  $V$  as follows:

- $V$  has two special tapes:
  - a query-tape on which it can write Boolean formulae and
  - a response-tape.
- At any step of the computation,  $V$  can send the formula on the query tape to a SAT-prover that immediately tells  $V$  if the formula is satisfiable or not by writing either 1 or 0 to the response-tape.

Let  $\mathbf{SAT}[k]$  be the class of all languages  $L$  which are decided by deterministic polynomial-time TMs asking at most  $k$  SAT-questions (where  $k$  may depend on the input length).

(a) The Boolean closure of  $\mathbf{NP}$  is the class of all languages  $L$  of the form:

$$L := \bigcup_{i=1}^m \bigcap_{j=1}^n L_{i,j} \text{ where } L_{i,j} \in \mathbf{NP} \cup \mathbf{coNP}$$

Show that for any such language  $L$  there exists a constant  $k$  s.t.  $L \in \mathbf{SAT}[k]$ .

(b) Show that  $\mathbf{SAT}[\text{poly}(n)] \subseteq \Sigma_2^p \cap \Pi_2^p$ .

*Remark:*  $\mathbf{SAT}[\text{poly}(n)]$  refers to the class of languages decided by deterministic polynomial-time TMs which can query the SAT-prover a number of times polynomial in the length of the input.

