

# Automata and Formal Languages

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Winter 2019/20

# Syllabus

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# Course schedule

## Lectures

Jan Kretinsky

Monday: 10:00 11:30 Room: IMETUM, E.126

Tuesday: 10:00 11:30 Room: IMETUM, E.126

## Exercises

Marijana Lazic and Chana Weil-Kennedy

Thursday: 14:00 15:30 Room: 02.13.010

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## Lectures

Jan Kretinsky

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## Exercises

Marijana Lazic and Chana Weil-Kennedy

Thursday: 14:15 15:45? Room: 02.13.010

## Automata on finite words

1. Automata classes and conversions
2. Minimization and reduction
3. Boolean operations and tests
4. Operations on relations
5. Operations on finite universes: decision diagrams
6. Automata and logic
7. Pattern-matching, verification, Presburger arithmetic

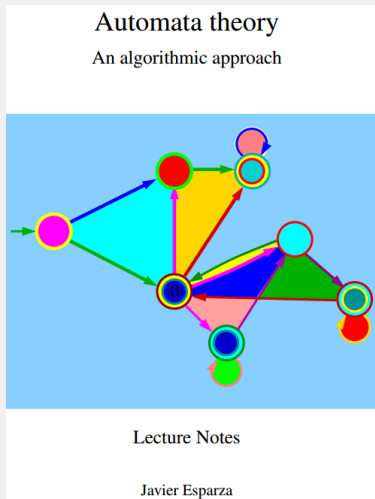
## Automata on infinite words

8. Automata classes and conversions
9. Boolean operations
10. Emptiness check
11. Verification using temporal logic

# Material

- Lecture notes available online
- Slides available online
- No book to buy

`www7.in.tum.de` > Teaching  
> Automata  
> more info



## **Automata theory: brief recap**

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# Formal languages

An *alphabet* is a nonempty finite set of *letters*

e.g.  $\{0, 1\}$ ,  $\{a, b, \dots, z\}$ ,  $\left\{\begin{bmatrix} 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \end{bmatrix}\right\}$ ,  $\{\clubsuit, \diamond, \heartsuit, \spadesuit\}$

A (*finite*) *word* is a finite sequence of letters

e.g. 1001, hello,  $\begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix}$ ,  $\clubsuit\clubsuit\diamond$ ,  $\varepsilon$

A *language* is a set of words

e.g.  $\{1, 10, 100, 1000, \dots\}$ ,  $\{aa, aba, abbba, \dots\}$

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# Formal languages

Let  $u = a_1 \cdots a_n$  and  $v = b_1 \cdots b_m$  be words

*Concatenation:*  $u \cdot v = uv = a_1 \cdots a_n b_1 \cdots b_m$   
 $\varepsilon \cdot u = u = u \cdot \varepsilon$

*Exponentiation:*  $u^0 = \varepsilon, u^{k+1} = u^k \cdot u$

e.g.  $a^0 = \varepsilon, a^1 = a, (\text{hallo})^2 = \text{hallohallo},$   
 $1^5 = 11111, \varepsilon^{1000} = \varepsilon, ab \cdot cde = abcde$

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Let  $L$  and  $L'$  be languages over alphabet  $\Sigma$

*Concatenation:*  $L \cdot L' = LL' = \{u \cdot v : u \in L, v \in L'\}$

*Exponentiation:*  $L^0 = \{\varepsilon\}, L^{k+1} = L^k \cdot L$

*Iteration:*  $L^* = L^0 \cup L^1 \cup L^2 \cup \dots$

*Complement:*  $\bar{L} = \Sigma^* \setminus L$

e.g.  $\{aa, bb\} \cdot \{c, d\} = \{aac, aad, bbc, bbd\},$   
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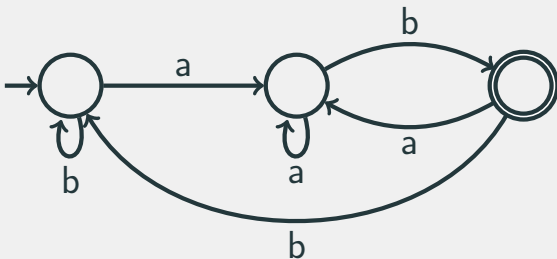
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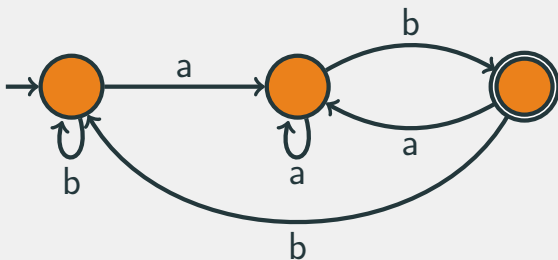
# Deterministic finite automata (DFA)

- *States:* nonempty finite set  $Q$
- *Alphabet:* nonempty finite set  $\Sigma$
- *Transitions:*  $\delta : Q \times \Sigma \rightarrow Q$
- *Initial state:*  $q_0 \in Q$
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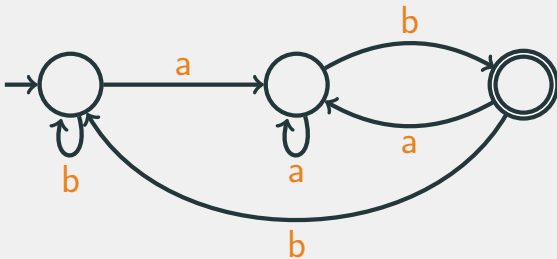
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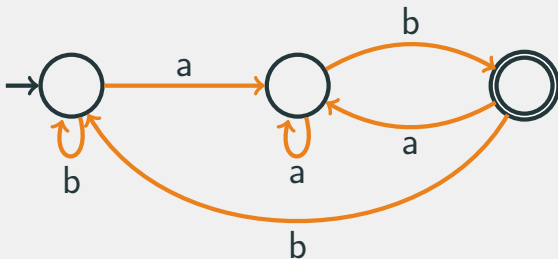
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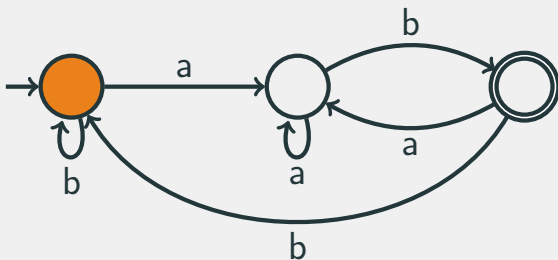
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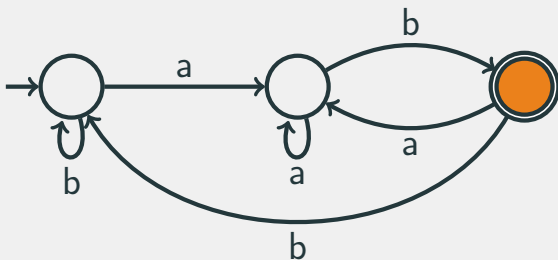
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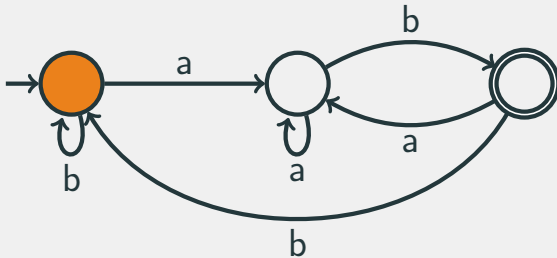
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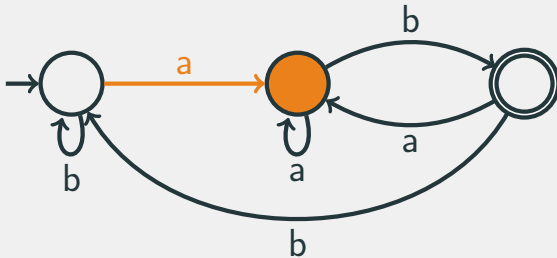
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$w = aabab$



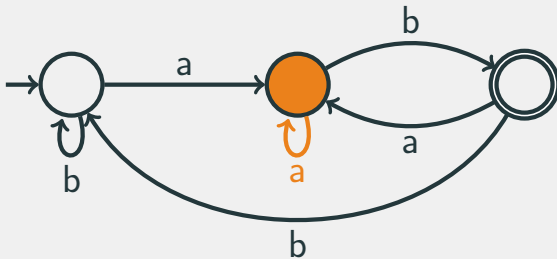
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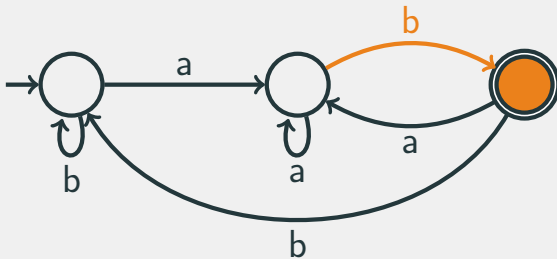
# Deterministic finite automata (DFA)

$w = \text{a} \text{a} \text{b} \text{a} \text{b}$



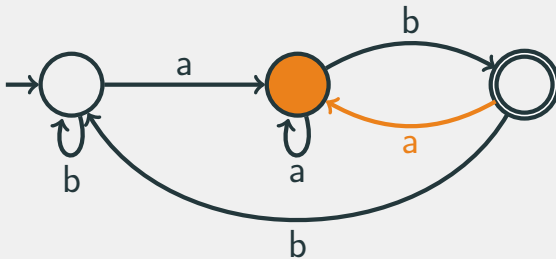
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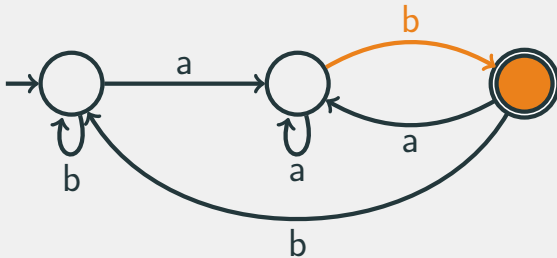
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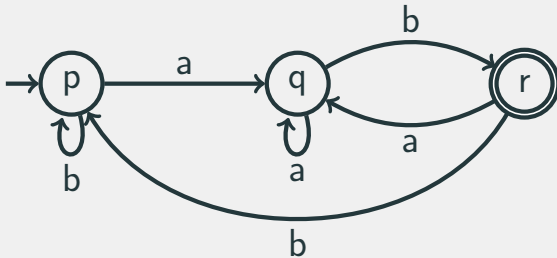
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$w = \text{aaba}b$

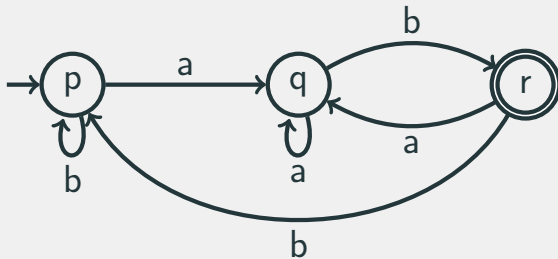
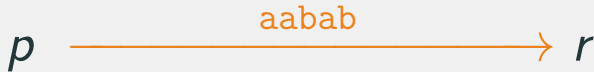


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$p \xrightarrow{a} q \xrightarrow{a} q \xrightarrow{b} r \xrightarrow{a} q \xrightarrow{b} r$

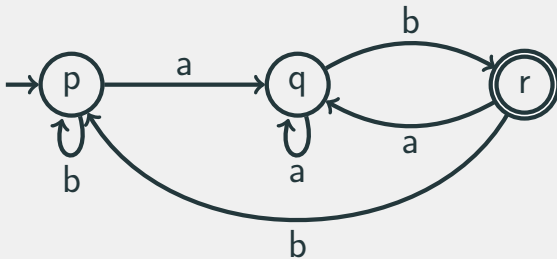


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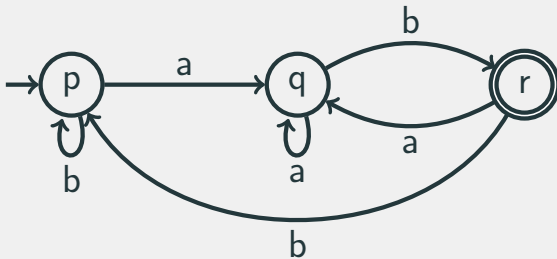
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$$L(A) = \{w \in \Sigma^* : \exists q \in F \text{ s.t. } q_0 \xrightarrow{w} q\}$$



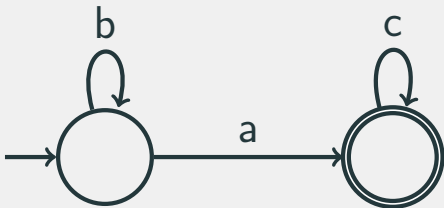
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$$L(A) = \{ w \in \Sigma^* : w \text{ ends with } ab \}$$



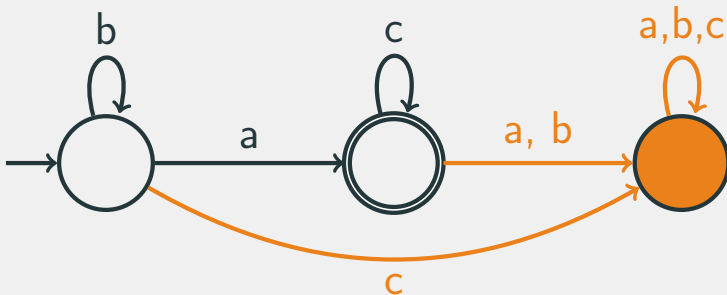
## DFA: trap states and unreachable states

Transition function  $\delta$  defined *on every* input



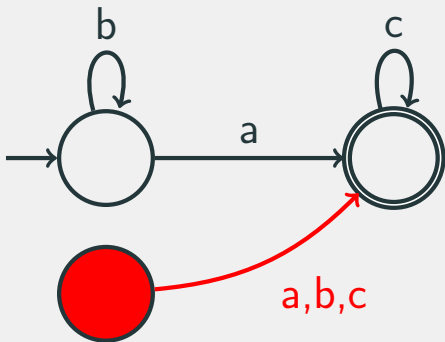
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## DFA: trap states and **unreachable states**

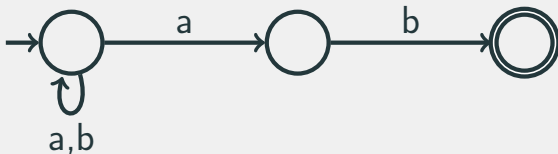
Every state *reachable* from initial state





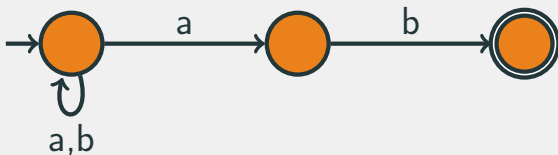
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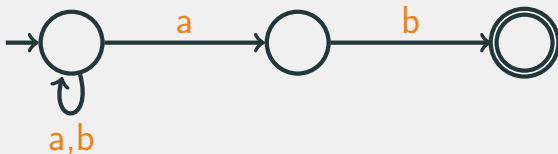
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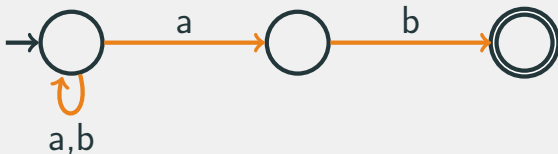
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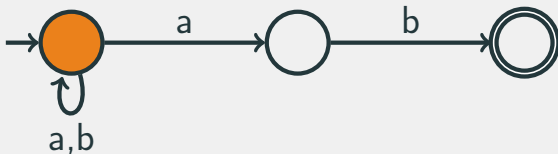
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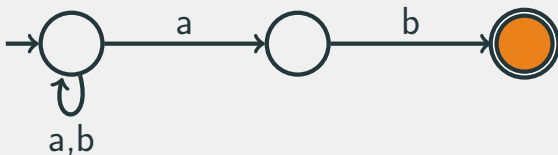
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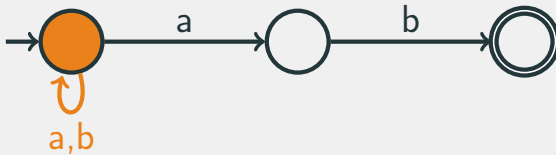
# Nondeterministic finite automata (NFA)

$w = aab$



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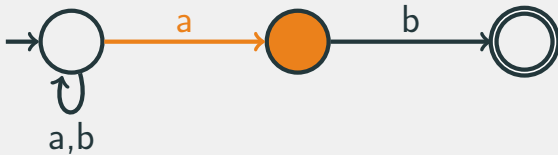
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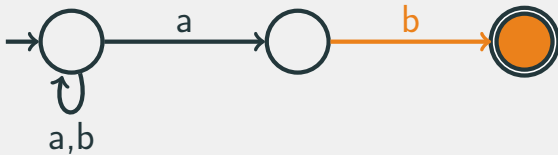
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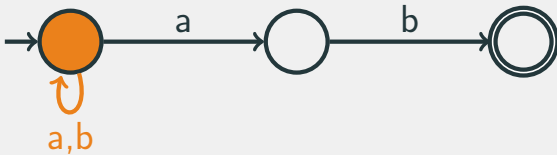
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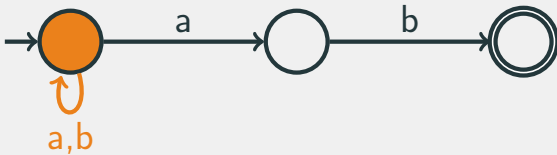
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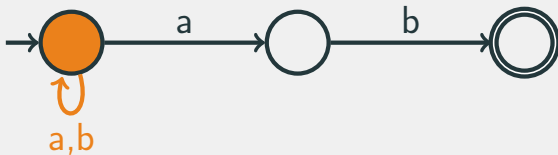
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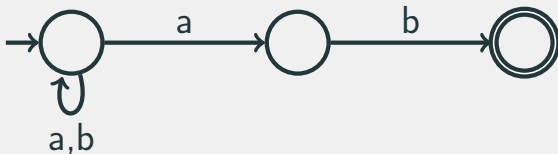


# Nondeterministic finite automata (NFA)

$$p_0 \xrightarrow{a_1} p_1 \xrightarrow{a_2} \dots \xrightarrow{a_n} p_n$$

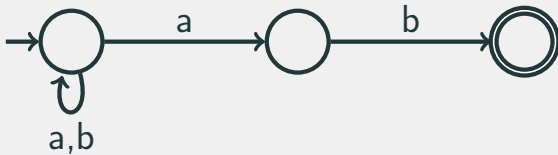


$$p_i \in \delta(p_{i-1}, a_i) \text{ for every } 0 < i \leq n$$



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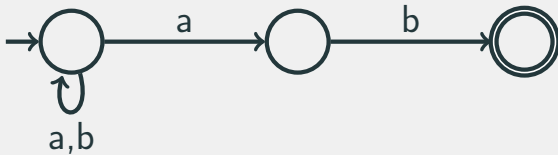
$$L(A) = \{w \in \Sigma^* : \exists q_0 \in Q_0, q \in F \text{ s.t. } q_0 \xrightarrow{w} q\}$$





# Nondeterministic finite automata (NFA)

$L(A) = \{w \in \Sigma^* : w \text{ ends with } ab\}$



## Regular expressions

$$r ::= \emptyset \mid \varepsilon \mid a \mid r_1 r_2 \mid r_1 + r_2 \mid r^*$$

# Regular expressions

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$$L(\varepsilon) = \{\varepsilon\} \qquad L(r_1 + r_2) = L(r_1) \cup L(r_2)$$

$$L(a) = \{a\} \qquad L(r^*) = L(r)^*$$

## Regular expressions

$$L((a + b)^* ab) = \{w \in \{a, b\}^* : w \text{ ends with } ab\}$$

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## More examples

$$L = \{w \in \{a, b\}^* : w \text{ contains } aaa\}$$

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Regular expression?

$$(a + b)^* aaa(a + b)^*$$

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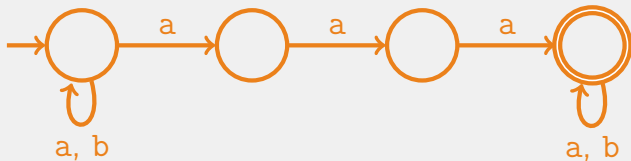
NFA?



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$$L = \{w \in \{a, b\}^* : w \text{ contains } aaa\}$$

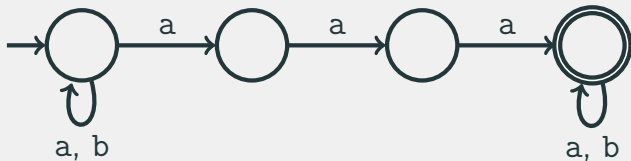
NFA?



## More examples

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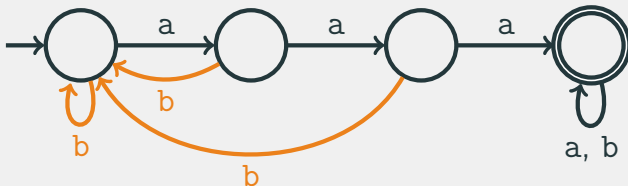
DFA?



## More examples

$$L = \{w \in \{a, b\}^* : w \text{ contains } aaa\}$$

DFA?



## More examples

$L = \{w \in \{0, 1\}^* : w \text{ contains an even number of 0 or}$   
 $\text{an odd number of 1} \quad \}$

## More examples

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Regular expression?

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Regular expression?

$$(1^*01^*0)^*1^* + (0^*10^*1)^*0^*10^*$$

## More examples

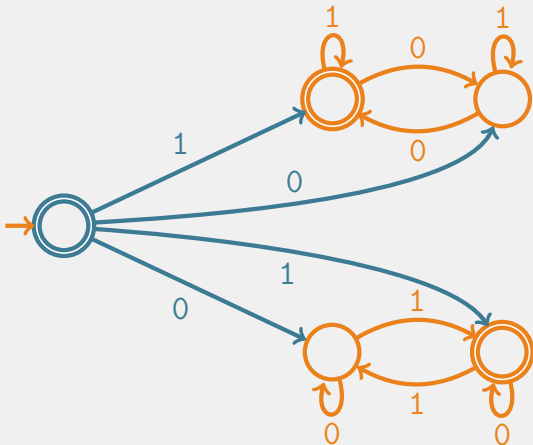
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NFA?

## More examples

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NFA?

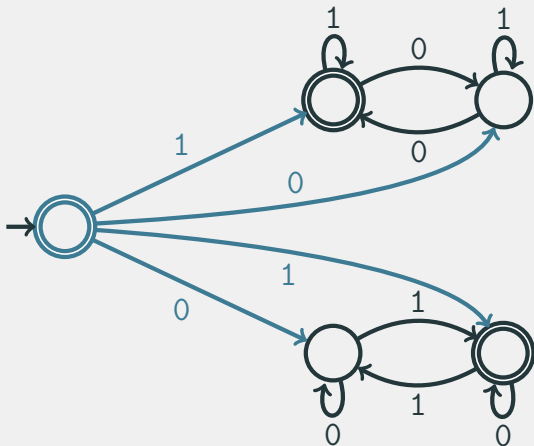




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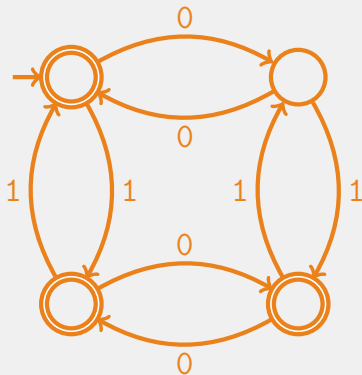
DFA?



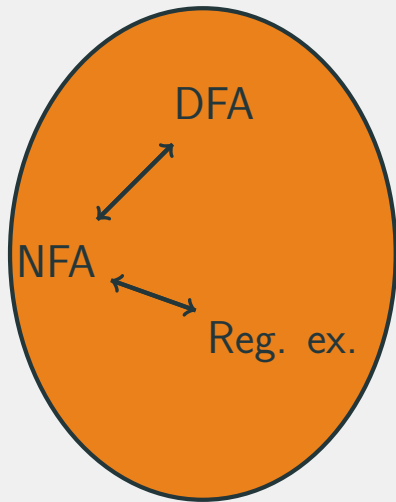
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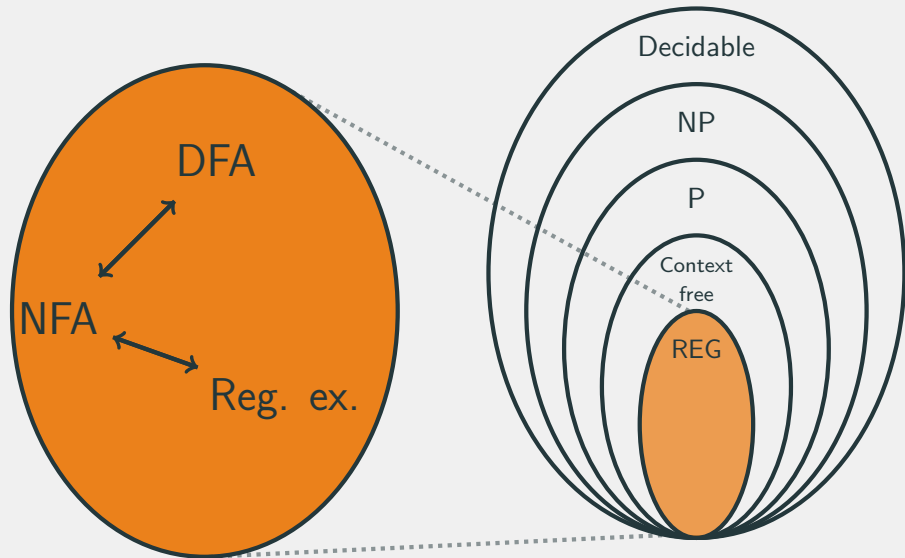
DFA?



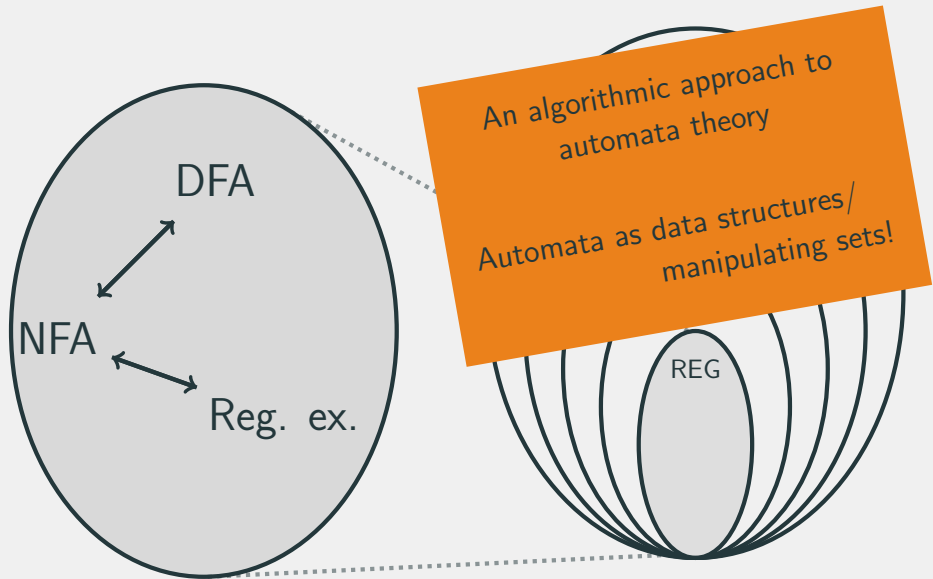
# Regular languages



# Regular languages



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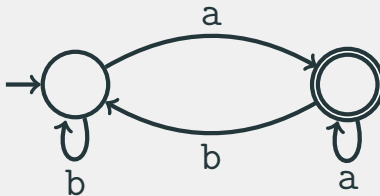
## **Beyond finite words**

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# Büchi automata

An *infinite word* is an infinite sequence  $a_0a_1a_2 \cdots$  over some  $\Sigma$

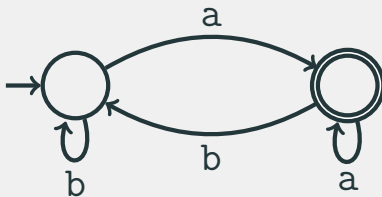
A *Büchi automaton* is "as an NFA", but accepts infinite words



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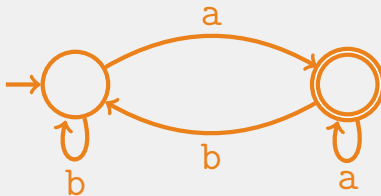




# Büchi automata

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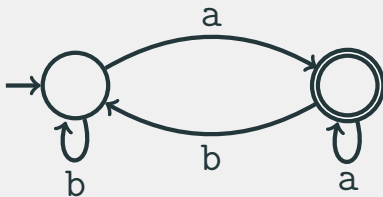
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$$L_\omega(A) = \{w \in \{a, b\}^\omega : w \text{ contains infinitely many } a\}$$

# Büchi automata

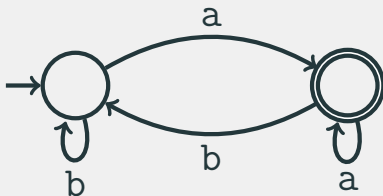
An  $i$

for some  $\Sigma$

A Bü

the words

Coming later this semester!



$$L_{\omega}(A) = \{w \in \{a, b\}^{\omega} : w \text{ contains infinitely many } a\}$$