Technische Universität München **Winter term 2019/20**
I7
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Automata and Formal Languages — Exercise Sheet 8

Exercise 8.1
Let $L_1 = \{abb, bba, bbb\}$ and $L_2 = \{aba, bbb\}$.

(a) Give an algorithm for the following operation:

INPUT: A fixed-length language $L \subseteq \Sigma^k$ described explicitly as a set of words.
OUTPUT: State q of the master automaton over Σ such that $L(q) = L$.

(b) Use the previous algorithm to build the states of the master automaton for L_1 and L_2.

(c) Compute the state of the master automaton representing $L_1 \cup L_2$.

(d) Identify the kernels $\langle L_1 \rangle$, $\langle L_2 \rangle$, and $\langle L_1 \cup L_2 \rangle$.

Exercise 8.2
(a) Give an recursive algorithm for the following operation:

INPUT: States p and q of the master automaton.
OUTPUT: State r of the master automaton such that $L(r) = L(p) \cdot L(q)$.

Observe that the languages $L(p)$ and $L(q)$ can have different lengths. Try to reduce the problem for p, q to the problem for p^a, q.

(b) Give an recursive algorithm for the following operation:

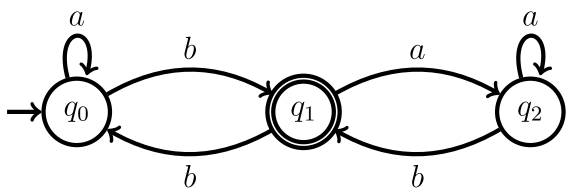
INPUT: A state q of the master automaton.
OUTPUT: State r of the master automaton such that $L(r) = L(q)^R$

where R is the reverse operator.

(c) Give an recursive algorithm for the following operation:

INPUT: A DFA A over alphabet Σ, and $k \in \mathbb{N}$.
OUTPUT: State q of the master automaton over Σ such that $L(q) = L(A) \cap \Sigma^k$.

Apply your algorithm on the following DFA with $k = 3$:



Exercise 8.3

Let $k \in \mathbb{N}_{>0}$. Let flip : $\{0,1\}^k \to \{0,1\}^k$ be the function that inverts the bits of its input, e.g. $\text{flip}(010) = 101$. Let val : $\{0,1\}^k \to \mathbb{N}$ be such that $\text{val}(w)$ is the number represented by w in the *least significant bit first* encoding.

(a) Describe the minimal transducer that accepts

$$L_k = \left\{[x,y] \in (\{0,1\} \times \{0,1\})^k \mid \text{val}(y) = \text{val}(\text{flip}(x)) + 1 \bmod 2^k\right\}.$$

(b) Build the state r of the master transducer for L_3, and the state q of the master automaton for $\{010, 110\}$.

(c) Adapt the algorithm *pre* seen in class to compute *post* and compute using this algorithm $post(r,q)$.

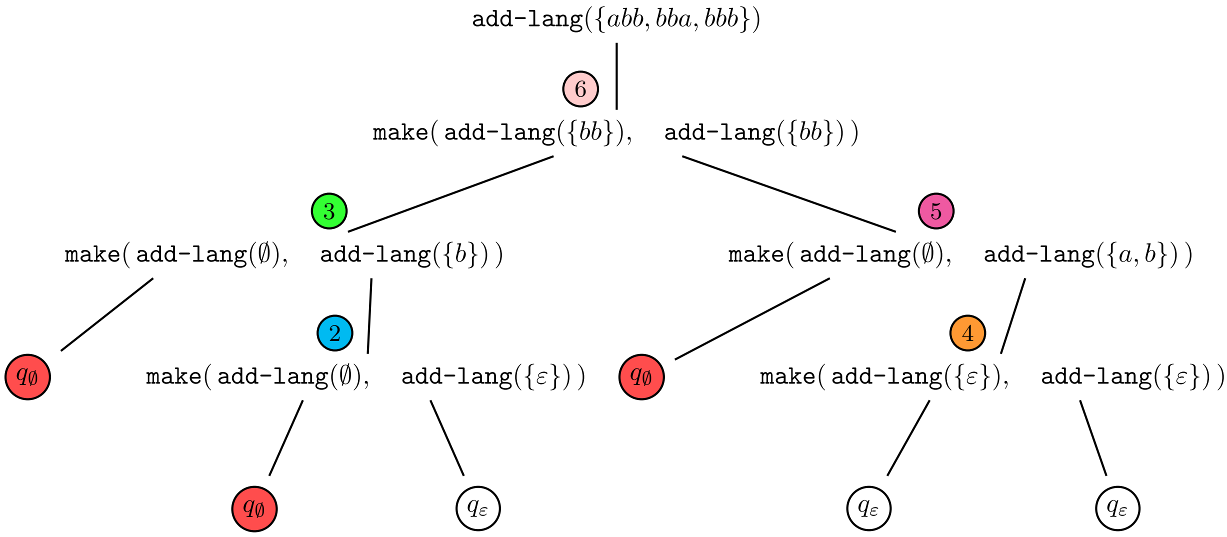
Solution 8.1

(a)

Input: A fixed-length language $L \subseteq \Sigma^k$ described explicitely by a set of words.
Output: State q of the master automaton over Σ such that $L(q) = L$.

`add-lang`(L):
    if $L = \emptyset$ **then**
        return $q_\emptyset$
    else if $L = \{\varepsilon\}$ **then**
        return q_ε
    else
        for $a_i \in \Sigma$ **do**
            $L^{a_i} \leftarrow \{u \mid au \in L\}$
            $s_i \leftarrow$ `add-lang`(L^{a_i})
        return `make`$(s_1, s_2, ..., s_n)$

(b) Executing `add-lang`(L_1) yields the following computation tree:



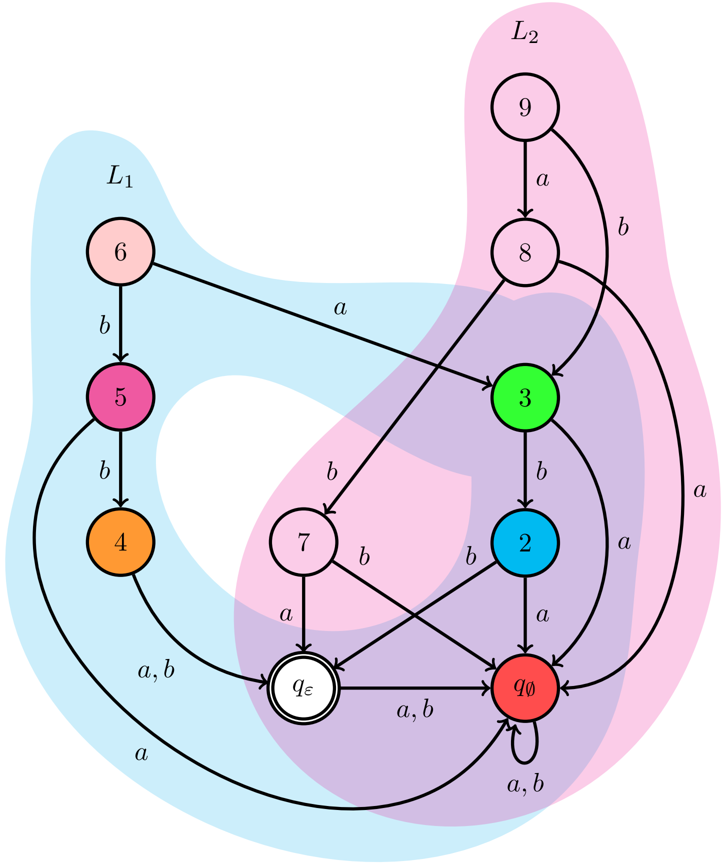
The table obtained after the execution is as follows:

Ident.	a-succ	b-succ
2	$q_\emptyset$	q_ε
3	$q_\emptyset$	2
4	q_ε	q_ε
5	$q_\emptyset$	4
6	3	5

Calling `add-lang`(L_2) adds the following rows to the table and returns 9:

Ident.	a-succ	b-succ
7	q_ε	$q_\emptyset$
8	$q_\emptyset$	7
9	8	3

The resulting master automaton fragment is:

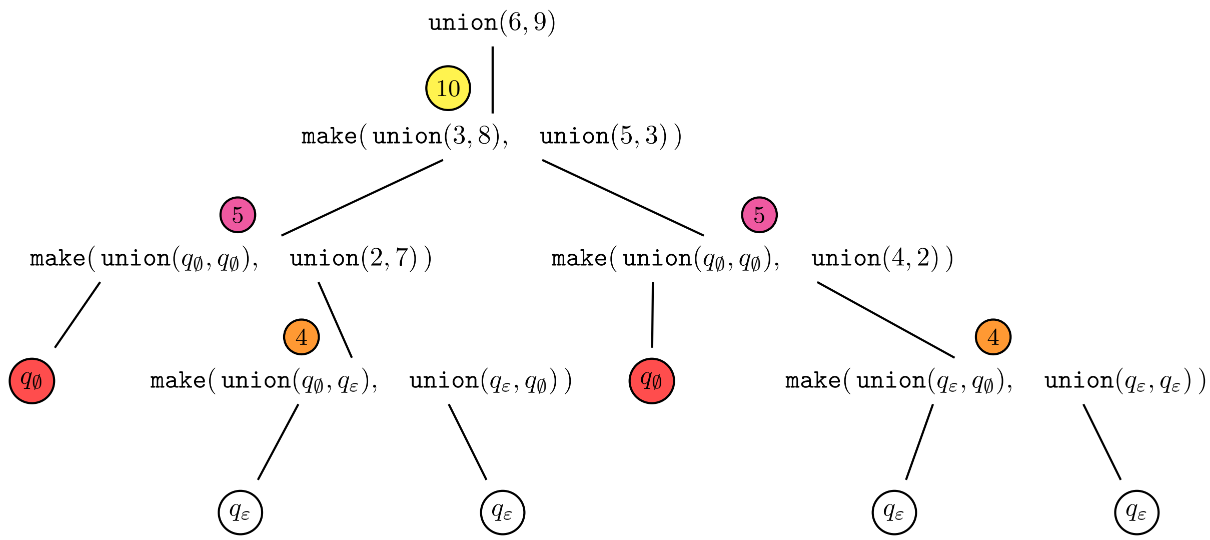


(c) Let us first adapt the algorithm for intersection to obtain an algorithm for union:

Input: States p and q of same length of the master automaton.
Output: State r of the master automaton such that $L(r) = L(p) \cup L(q)$.

`union`(p, q) *:*
    if $G(p, q)$ is not empty **then**
        return $G(p, q)$
    else if $p = q_\emptyset$ and $q = q_\emptyset$ **then**
        return $q_\emptyset$
    else if $p = q_\varepsilon$ or $q = q_\varepsilon$ **then**
        return q_ε
    else
        for $a_i \in \Sigma$ **do**
            $s_i \leftarrow$ `union`(p^{a_i}, q^{a_i})
        $G(p, q) \leftarrow$ `make`$(s_1, s_2, \ldots, s_n)$
        return $G(p, q)$

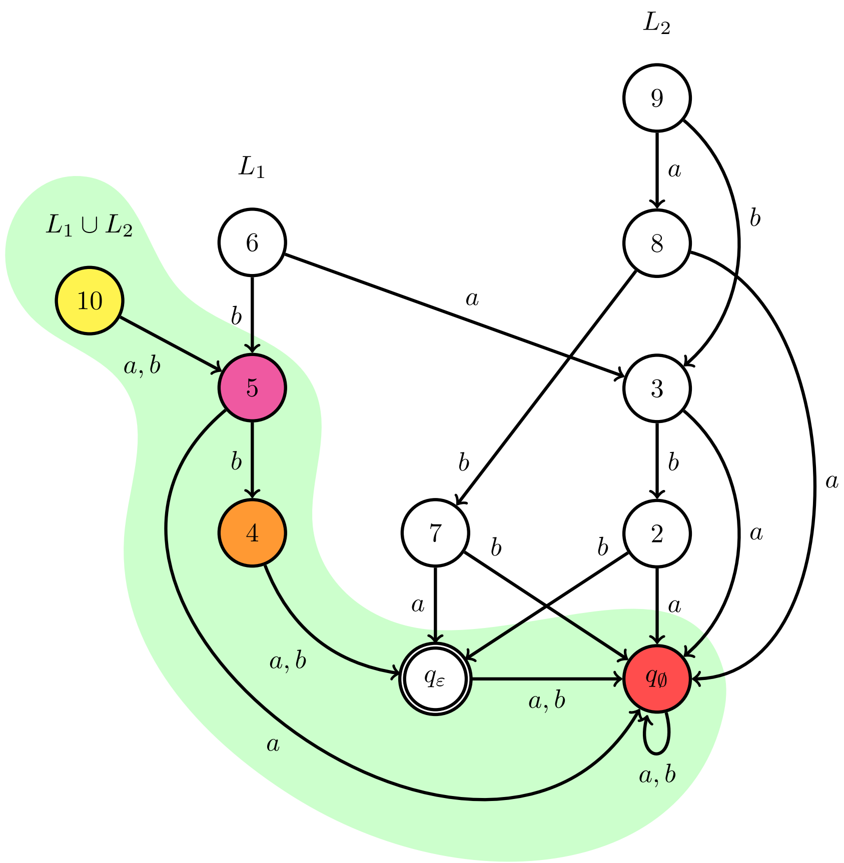
Executing $\texttt{union}(6,9)$ yields the following computation tree:



Calling $\texttt{union}(6,9)$ adds the following row to the table and returns 10:

Ident.	a-succ	b-succ
10	5	5

The new fragment of the master automaton is:



★ Note that $\texttt{union}$ could be slightly improved by returning q whenever $p = q$, and by updating $G(q,p)$ at the same time as $G(p,q)$.

(d) The kernels are:

$$\langle L_1 \rangle = L_1,$$
$$\langle L_2 \rangle = L_2,$$
$$\langle L_1 \cup L_2 \rangle = \{ba, bb\}.$$

Solution 8.2

(a) Let L and L' be fixed-length languages. The following holds:

$$L \cdot L' = \begin{cases} \emptyset & \text{if } L = \emptyset, \\ L' & \text{if } L = \{\varepsilon\}, \\ \bigcup_{a \in \Sigma} \{a\} \cdot L^a \cdot L' & \text{otherwise.} \end{cases}$$

These identities give rise to the following algorithm:

Input: States p and q of the master automaton.
Output: State r of the master automaton such that $L(r) = L(p) \cdot L(q)$.

`concat`(p, q) :
    if $G(p, q)$ is not empty **then**
        return $G(p, q)$
    else if $p = q_\emptyset$ **then**
        return $q_\emptyset$
    else if $p = q_\varepsilon$ **then**
        return q
    else
        for $a_i \in \Sigma$ **do**
            $s_i \leftarrow$ `concat`(p^{a_i}, q)
        $G(p, q) \leftarrow$ `make`$(s_1, s_2, \ldots, s_n)$
        return $G(p, q)$

(b) Let L be a fixed-length language. The following holds:

$$L^R = \begin{cases} \emptyset & \text{if } L = \emptyset, \\ \{\varepsilon\} & \text{if } L = \{\varepsilon\}, \\ \bigcup_{a \in \Sigma} (L^a)^R \cdot \{a\} & \text{otherwise.} \end{cases}$$

These identities give rise to the following algorithm:

★ Note that Lines 11 and 12 are introduced in order to represent the language $\{a_i\}$ in Line 13 as a state `make`$(s_1, s_2, \ldots, s_n)$ of the master automaton. This can be avoided by using the algorithm from Exercise 8.1, namely the state that represents $\{a_i\}$ is `add-lang`$(\{a_i\})$. Thus, Lines 11-13 can be replaced just by $r \leftarrow$ `concat`(`reverse`(q^{a_i}), `add-lang`$(\{a_i\})$)

(c) Let A be a DFA and let $k \in \mathbb{N}$. The following holds:

$$L(A) \cap \Sigma^k = \begin{cases} \emptyset & \text{if } k = 0 \text{ and } \varepsilon \notin L(A), \\ \{\varepsilon\} & \text{if } k = 0 \text{ and } \varepsilon \in L(A), \\ \bigcup_{a \in \Sigma} \{a\} \cdot (L(A)^a \cap \Sigma^{k-1}) & \text{otherwise.} \end{cases}$$

These identities give rise to the following algorithm:

Input: A state q of the master automaton.
Output: State r of the master automaton such that $L(r) = L(q)^R$.

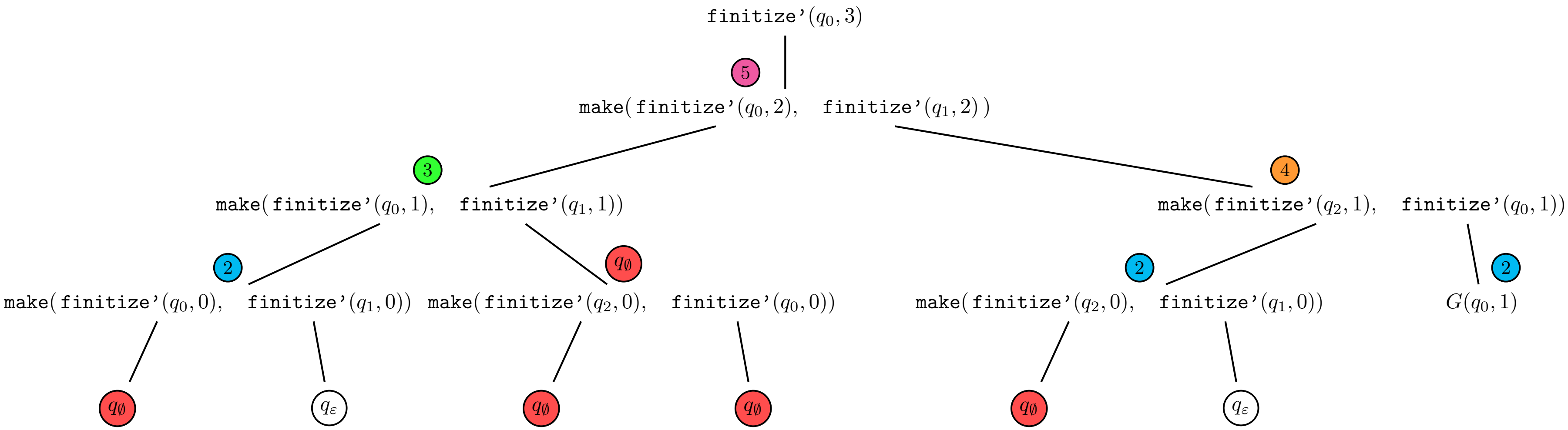
`reverse(`q`)`*:*
    if $G(q)$ is not empty **then**
        return $G(q)$
    else if $q = q_\emptyset$ **then**
        return $q_\emptyset$
    else if $q = q_\varepsilon$ **then**
        return q_ε
    else
        $p \leftarrow q_\emptyset$
        for $a_i \in \Sigma$ **do**
            $s_i \leftarrow q_\varepsilon$
            $s_j \leftarrow q_\emptyset$ for every $i \neq j$
            $r \leftarrow$ `concat`$($`reverse`$(q^{a_i}),$ `make`$(s_1, s_2, \ldots, s_n))$
            $p \leftarrow$ `union`(p, r)
        $G(q) \leftarrow p$
        return $G(q)$

Input: A DFA A over alphabet Σ, and $k \in \mathbb{N}$.
Output: State q of the master automaton over Σ such that $L(q) = L(A) \cap \Sigma^k$.

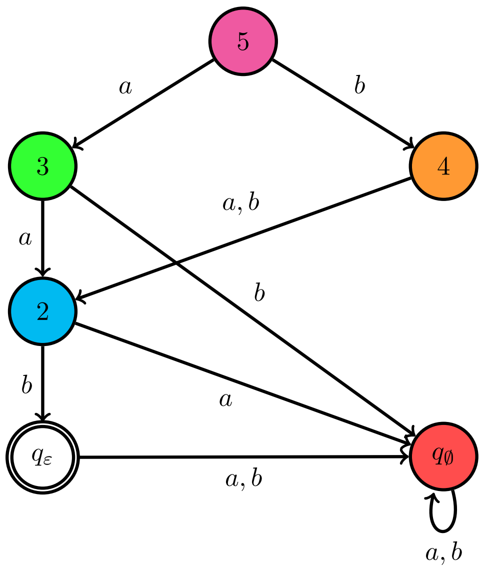
`finitize(`A, k`)`*:*
    $(Q, q_0, \Sigma, \delta, F) \leftarrow A$
    return `finitize'(`q_0, k`)`

`finitize'(`q, k`)`*:*
    if $G(q, k)$ is not empty **then**
        return $G(q, k)$
    else if $k = 0$ and $q \notin F$ **then**
        return $q_\emptyset$
    else if $k = 0$ and $q \in F$ **then**
        return q_ε
    else
        for $a_i \in \Sigma$ **do**
            $s_i \leftarrow$ `finitize'`$(\delta(q, a_i), k - 1)$
        $G(q, k) \leftarrow$ `make`$(s_1, s_2, \ldots, s_n)$
        return $G(q, k)$

Executing `finitize`$(A, 3)$ calls `finitize'`$(q_0, 3)$ which yields the following computation tree:

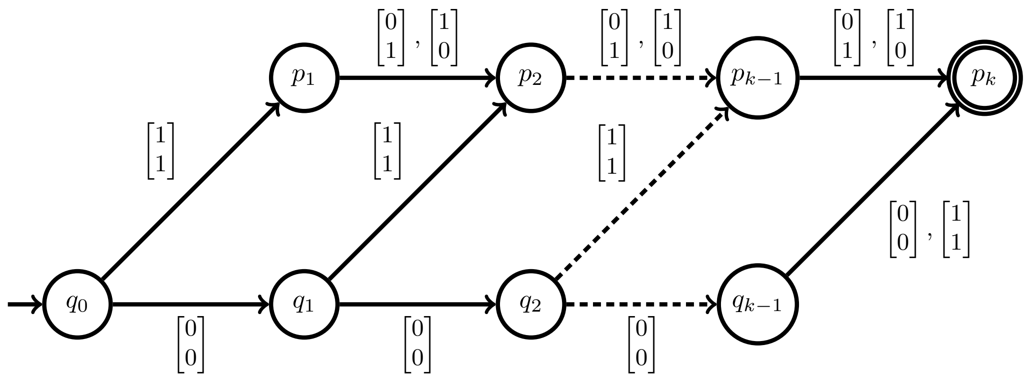


State 5 of the following master automaton fragment accepts $L(A) \cap \{a, b\}^3 = \{aab, bab, bbb\}$:

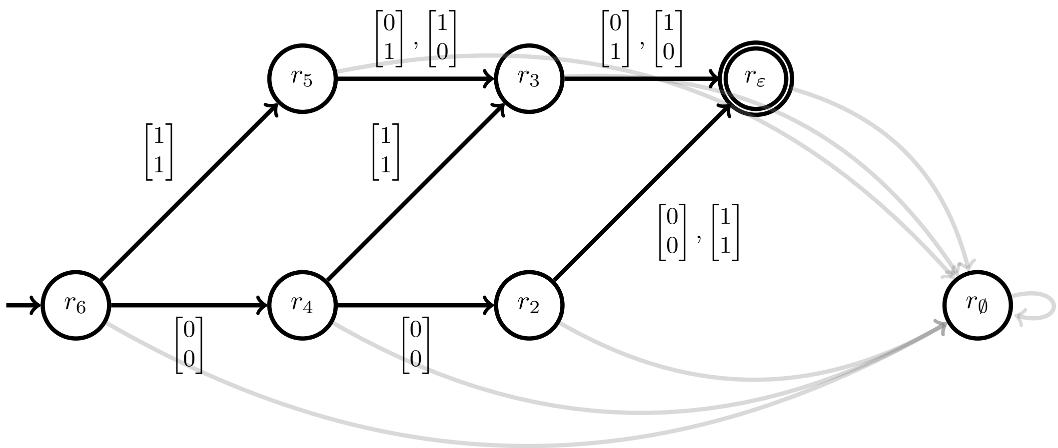


Solution 8.3

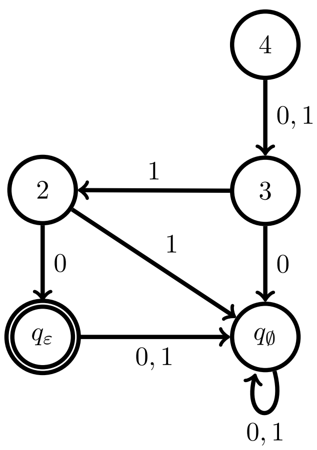
(a) Let $[x, y] \in L_k$. We may flip the bits of x at the same time as adding 1. If $x_1 = 1$, then $\neg x_1 = 0$, and hence adding 1 to $\mathrm{val}(\mathrm{flip}(x))$ results in $y_1 = 1$. Thus, for every $1 < i \le k$, we have $y_i = \neg x_i$. If $x_1 = 0$, then $\neg x_1 = 1$. Adding 1 yields $y_1 = 0$ with a carry. This carry is propagated as long as $\neg x_i = 1$, and thus as long as $x_i = 0$. If some position j with $x_j = 1$ is encountered, the carry is "consumed", and we flip the remaining bits of x. These observations give rise to the following minimal transducer for L_k:



(b) The minimal transducer accepting L_3 is



State 4 of the following master automaton fragment accepts $\{010, 110\}$:



(c) We can establish the following identities similar to those obtained for *pre*:

$$post_R(L) = \begin{cases} \emptyset & \text{if } R = \emptyset \text{ or } L = \emptyset, \\ \{\varepsilon\} & \text{if } R = \{[\varepsilon, \varepsilon]\} \text{ and } L = \{\varepsilon\}, \\ \bigcup_{a,b\in\Sigma} b \cdot post_{R^{[a,b]}}(L^a) & \text{otherwise.} \end{cases}$$

To see that these identities hold, let $b \in \Sigma$ and $v \in \Sigma^k$ for some $k \in \mathbb{N}$. We have,

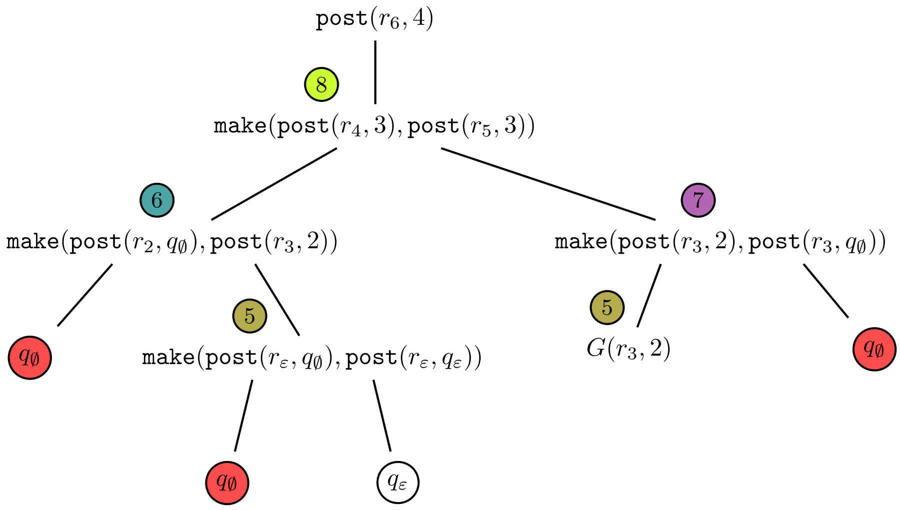
$$\begin{aligned} bv \in post_R(L) &\iff \exists a \in \Sigma, u \in \Sigma^k \text{ s.t. } au \in L \text{ and } [au, bv] \in R \\ &\iff \exists a \in \Sigma, u \in L^a \text{ s.t. } [au, bv] \in R \\ &\iff \exists a \in \Sigma, u \in L^a \text{ s.t. } [u, v] \in R^{[a,b]} \\ &\iff \exists a \in \Sigma \text{ s.t. } v \in Post_{R^{[a,b]}}(L^a) \\ &\iff v \in \bigcup_{a\in\Sigma} Post_{R^{[a,b]}}(L^a) \\ &\iff bv \in \bigcup_{a\in\Sigma} b \cdot Post_{R^{[a,b]}}(L^a). \end{aligned}$$

We obtain the following algorithm:

Input: A state r of the master transducer and a state q of the master automaton.
Output: State p of the master automaton such that $L(p) = Post_R(L)$ where $R = L(r)$ and $L = L(q)$.

`post`(r, q)*:*
  if $G(r, q)$ is not empty **then**
    return $G(r, q)$
  else if $r = r_\emptyset$ or $q = q_\emptyset$ **then**
    return $q_\emptyset$
  else if $r = r_\varepsilon$ and $q = q_\varepsilon$ **then**
    return q_ε
  else
    for $b_i \in \Sigma$ **do**
      $p \leftarrow q_\emptyset$
      for $a \in \Sigma$ **do**
        $p \leftarrow$ `union`$(p,$ `post`$(r^{[a,b_i]}, q^a))$
      $s_i \leftarrow p$
    $G(q, r) \leftarrow$ `make`$(s_1, s_2, \ldots, s_n)$
    return $G(q, r)$

Note that the transducer for L_3 has some "strong" deterministic property. Indeed, for every state r and $b \in \{0,1\}$, if $r^{[a,b]} \neq r_\emptyset$ then $r^{[\neg a,b]} = r_\emptyset$. Hence, for a fixed $b \in \{0,1\}$, at most one term of the form "$\texttt{post}(r^{[a,b]}, q^a)$" can differ from $q_\emptyset$ at line 12 of the algorithm. Thus, unions made by the algorithm on this transducer are trivial, and executing $\texttt{post}(6,4)$ yields the following computation tree:



Calling $\texttt{post}(6,4)$ adds the following rows to the master automaton table and returns 8:

Ident.	0-succ	1-succ
5	$q_\emptyset$	q_ε
6	$q_\emptyset$	5
7	5	$q_\emptyset$
8	6	7

The resulting master automaton fragment: