# Automata and Formal Languages — Exercise Sheet 6

### Exercise 6.1

- 1. Build the automata  $B_p$  and  $C_p$  for the word pattern p = mammania.
- 2. How many transitions are taken when reading t = mami in  $B_p$  and  $C_p$ ?
- 3. Let n > 0. Find a text  $t \in \{a, b\}^*$  and a word pattern  $p \in \{a, b\}^n$  such that testing whether p occurs in t takes n transitions in  $B_p$  and 2n 1 transitions in  $C_p$ .

#### Exercise 6.2

In order to make pattern-matching robust to typos we want to include also "similar" words in our results. For this we consider words with a small Levenshtein-distance (edit-distance) "similar".

We transform a word w to a new word w' using the following operations (with  $a_i, b \in \Sigma$ ):

- replace (R):  $a_1 \ldots a_{i-1} a_i a_{i+1} \ldots a_l \to a_1 \ldots a_{i-1} b a_{i+1} \ldots a_l$
- delete (D):  $a_1 \dots a_{i-1} a_i a_{i+1} \dots a_l \to a_1 \dots a_{i-1} \varepsilon a_{i+1} \dots a_l$
- insert (I):  $a_1 \ldots a_{i-1} a_i a_{i+1} \ldots a_l \rightarrow a_1 \ldots a_{i-1} a_i b a_{i+1} \ldots a_l$

The Levenshtein-distance (denoted  $\Delta(w, w')$ ) of w and w' is the minimal number of operations (R,D,I) needed to transform w into w'. We denote with  $\Delta_{L,i} = \{w \in \Sigma^* \mid \exists w' \in L. \Delta(w', w) \leq i\}$  the language of all words with edit-distance at most i to some word of L.

- (a) Compute  $\Delta(abcde, accd)$ .
- (b) Prove the following statement: If L is a regular language, then  $\Delta_{L,n}$  is a regular language.
- (c) Let p be the pattern ABBA. Construct an NFA- $\epsilon$  locating the pattern or variations of it with editdistance 1.

#### Exercise 6.3

- (a) Let  $n \in \mathbb{N}$  be such that  $n \ge 2$ . Show that  $L_n = \{w \in \{a, b\}^* \mid |w| \equiv 0 \pmod{n}\}$  has exactly n residuals, without constructing any automaton for  $L_n$ .
- (b) Consider the following "proof" showing that  $L_2$  is non regular:

Let  $i, j \in \mathbb{N}$  be such that *i* is even and *j* is odd. By definition of  $L_2$ , we have  $\varepsilon \in (L_2)^{a^i}$ and  $\varepsilon \notin (L_2)^{a^j}$ . Therefore, the  $a^i$ -residual and  $a^j$ -residual of  $L_2$  are distinct. Since there are infinitely many even numbers *i* and odd numbers *j*, this implies that  $L_2$  has infinitely many residuals, and hence that  $L_2$  is not regular.

Language  $L_2$  is regular, so this "proof" must be incorrect. Explain what is wrong with the "proof".

1.  $A_p$ :



 $B_p$  :



 $C_p$  :



- 2. Four transitions taken in  $B_p$ :  $\{0\} \xrightarrow{m} \{0,1\} \xrightarrow{a} \{0,2\} \xrightarrow{m} \{0,1,3\} \xrightarrow{i} \{0\}$ . Six transitions taken in  $C_p$ :  $0 \xrightarrow{m} 1 \xrightarrow{a} 2 \xrightarrow{m} 3 \xrightarrow{i} 1 \xrightarrow{i} 0 \xrightarrow{i} 0$ .
- 3.  $t = a^{n-1}b$  and  $p = a^n$ . The automata  $B_p$  and  $C_p$  are as follows:

 $B_p$ :



 $C_p$ :



The runs over t on  $B_p$  and  $C_p$  are respectively:

$$\{0\} \xrightarrow{a} \{0,1\} \xrightarrow{a} \{0,1,2\} \xrightarrow{a} \cdots \xrightarrow{a} \{0,1,\ldots,n-1\} \xrightarrow{b} \{0\},\$$

and

$$0 \xrightarrow{a} 1 \xrightarrow{a} 2 \xrightarrow{a} \cdots \xrightarrow{a} (n-1) \xrightarrow{b} (n-2) \xrightarrow{b} (n-3) \xrightarrow{b} \cdots \xrightarrow{b} 0.$$

## Solution 6.2

(a)  $\Delta(abcde, accd) = 2.$ 

(b) Let  $M = (Q, \Sigma, \delta, q_0, F)$  be a DFA for L. We obtain an NFA- $\epsilon N$  for  $\Delta_{L,n}$  by adding n "error-levels". Formally:

$$N = (Q \times [0, n], \Sigma, \delta', (q_0, 0), F \times [0, n])$$

with

$$\begin{split} \delta' &= \{ ((q,i),a,(p,i)) \mid q, p \in Q \land i \leq n \land a \in \Sigma \land \delta(q,a) = p \} & \text{no change} \\ &\cup \{ ((q,i),\varepsilon,(p,i+1)) \mid q, p \in Q \land i < n \land (\exists a \in \Sigma, \delta(q,a) = p) \} & \text{delete} \\ &\cup \{ ((q,i),a,(q,i+1)) \mid q \in Q \land i < n \land a \in \Sigma \} & \text{insert} \\ &\cup \{ ((q,i),b,(p,i+1)) \mid q, p \in Q \land i < n \land (\exists a \in \Sigma \setminus \{b\}, \delta(q,a) = p) \} & \text{replace} \end{split}$$

Let us prove that  $\Delta_{L,n} = L(N)$ .

- $\Delta_{L,n} \subseteq L(N)$ . If  $w \in \Delta_{L,n}$ , it means that there is  $w' \in L$  such that  $\Delta(w', w) = k \leq n$ , or in other words, starting from the word w', we can obtain w by applying k "mistakes" (delete, insert, replace). As  $w' \in L$  (accepted by M) and as the 0-level of N is a copy of M, note that w' has a run in N that reaches a final state  $(q_f, 0)$ . By construction of the automaton N, there is a run of the word w that follows the run of w' where each "mistake" can be seen as moving to the next error-level, using the corresponding transition from  $\delta'$  (delete, insert, replace) depending on a mistake. It is easy to see that if the word w' reaches a final state  $(q_f, 0)$  in N, then w can reach  $(q_f, k)$ , and thus  $w \in L(N)$ .
- $L(N) \subseteq \Delta_{L,n}$ . If  $w \in L(N)$ , this means there is a run of w in N that reaches a final state  $(q_f, k) \in F \times [0, n]$ . Intuitively, for each transition of that run that changes the level, we modify w so that it "stays in the same level". Formally, we check the nature of the transition that changes the level and modify w as follows:

(i) If  $(p,i) \xrightarrow{a} (p,i+1)$  is an insert edge, this occurrence of the letter a will be removed from w.

(ii) If  $(p,i) \xrightarrow{a} (q,i+1)$  is a replace edge, and there exists a  $(p,i) \xrightarrow{b} (q,i)$  edge, for some letter b, then we replace this occurrence of a in w with b.

(iii) If  $(p, i) \xrightarrow{\epsilon} (q, i+1)$  is a delete edge, and there exists a  $(p, i) \xrightarrow{a} (q, i)$  edge, for some letter a, then we add the letter a at this place in w.

Denote the obtained word by w'. It is easy to see that w' is obtained from w by applying mistakes (delete, insert, replace) k times, as in the run of w there are exactly k transitions that change the level. Therefore,  $\Delta(w', w) \leq k \leq n$ . Moreover, it is easy to see that if w reaches  $(q_f, k)$ , then w' reaches  $(q_f, 0)$ . As the 0-level is a copy of M, then  $w' \in L$ . To summarize, there exists  $w' \in L$  such that  $\Delta(w', w) \leq n$ , that is,  $w \in \Delta_{L,n}$ .

(c) We use the same construction as in (b) with the automaton  $A_p$  for pattern p = ABBA.



## Solution 6.3

(a) We claim that the residuals of  $L_n$  are

$$(L_n)^{a^0}, (L_n)^{a^1}, \dots, (L_n)^{a^{n-1}}.$$
 (1)

Let us first show that for every word w we have  $(L_n)^w = (L_n)^{a^{|w| \mod n}}$ . Let  $w \in \{a, b\}^*$ . For every  $u \in \{a, b\}^*$ , we have

$$u \in (L_n)^w \iff wu \in L_n$$
  
$$\iff |wu| \equiv 0 \pmod{n}$$
  
$$\iff |w| + |u| \equiv 0 \pmod{n}$$
  
$$\iff (|w| \mod n) + |u| \equiv 0 \pmod{n}$$
  
$$\iff |a^{|w| \mod n}| + |u| \equiv 0 \pmod{n}$$
  
$$\iff |a^{|w| \mod n}u| \equiv 0 \pmod{n}$$
  
$$\iff a^{|w| \mod n}u \in L_n$$
  
$$\iff u \in (L_n)^{a^{|w| \mod n}}.$$

It remains to show that the residuals of (1) are distinct. Let  $0 \le i, j < n$  be such that  $i \ne j$ . We have  $a^{n-i} \in (L_n)^{a^i}$ , and  $a^{n-i} \notin (L_n)^{a^j}$  since  $|a^j a^{n-i}| \mod n = j - i \ne 0$ . Therefore,  $(L_n)^{a^i} \ne (L_n)^{a^j}$ .  $\Box$ 

(b) The part of the "proof" showing that  $(L_2)^{a^i} \neq (L_2)^{a^j}$ , for every even *i* and odd *j*, is correct. However, this only shows that  $L_2$  has at least two residuals. Indeed, even if there are infinitely many even and odd numbers, the following is not ruled out:

$$(L_2)^{a^0} = (L_2)^{a^2} = (L_2)^{a^4} = \cdots,$$
  
 $(L_2)^{a^1} = (L_2)^{a^3} = (L_2)^{a^5} = \cdots.$ 

In order to show that a language has infinitely many residuals, one must exhibit an infinite subset of residuals that are *pairwise* distinct.