# Automata and Formal Languages — Homework 5

#### Due 20.11.2018

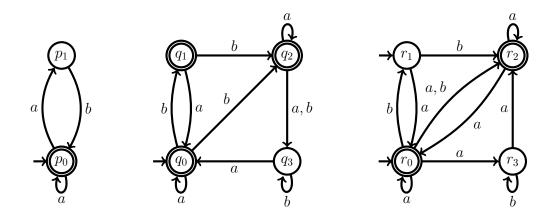
#### Exercise 5.1

For every  $n \in \mathbb{N}$ , let  $L_n \subseteq \{a, b\}^*$  be the language described by the regular expression  $(a+b)^* a(a+b)^n b(a+b)^*$ .

- (a) Give an NFA  $A_n$  with n + 3 states that accepts  $L_n$ .
- (b) Decide algorithmically whether  $baabba \in L(A_2)$  and  $baabaa \in L(A_2)$ .
- (c) If you make final and non final states of  $A_n$  respectively non final and final, do you obtain an NFA that accepts  $\overline{L_n}$ ? Justify your answer.

### Exercise 5.2

Consider the following NFAs A, B and C:

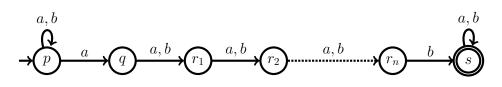


- (a) Use algorithm UnivNFA to determine whether  $L(B) = \{a, b\}^*$  and  $L(C) = \{a, b\}^*$ .
- (b) Use algorithm *InclNFA* to determine whether  $L(A) \subseteq L(B)$  and  $L(A) \subseteq L(C)$ .

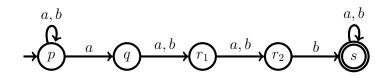
#### Exercise 5.3

- (a) We have seen that testing whether two NFAs accept the same language can be done by using algorithm *InclNFA* twice. Give an alternative algorithm, based on pairings, for testing equality.
- (b) Give two NFAs A and B for which exploring only the minimal states of [NFAtoDFA(A), NFAtoDFA(B)] is not sufficient to determine whether L(A) = L(B).
- (c) Show that the problem of determining whether an NFA and a DFA accept the same language is PSPACEhard.

(a)



(b) The automaton  $A_2$  is as follows:



Automaton  $A_2$  accepts w = baabba since reading w in the DFA obtained from  $A_2$  yields:

$$\{p\} \xrightarrow{b} \{p\} \xrightarrow{a} \{p,q\} \xrightarrow{a} \{p,q,r_1\} \xrightarrow{b} \{p,r_1,r_2\} \xrightarrow{b} \{p,r_2,s\} \xrightarrow{a} \{p,q,s\}$$

where s is final. However,  $A_2$  rejects w' = baabaa since reading w' in the DFA obtained from  $A_2$  yields:

$$\{p\} \xrightarrow{b} \{p\} \xrightarrow{a} \{p,q\} \xrightarrow{a} \{p,q,r_1\} \xrightarrow{b} \{p,r_1,r_2\} \xrightarrow{a} \{p,q,r_2\} \xrightarrow{a} \{p,q,r_1\}$$

where none of p, q and  $r_1$  are final.

(c) No, it would accept  $\{a, b\}^*$  since every word could be accepted in state p.

## Solution 5.2

(a) The trace of the execution is as follows:

Iter.	Q	$\mathcal{W}$
0	Ø	$\{\{q_0\}\}$
1	$\{\{q_0\}\}$	$\{\{q_1, q_2\}\}$
2	$\{\{q_0\}, \{q_1, q_2\}\}$	$\{\{q_2, q_3\}\}$
3	$\{\{q_0\},\{q_1,q_2\},\{q_2,q_3\}\}\$	Ø

At the third iteration, the algorithm encounters state  $\{q_3\}$  which is non final, and hence it returns *false*. Therefore,  $L(B) \neq \{a, b\}^*$ .

(b) The trace of the algorithm is as follows:

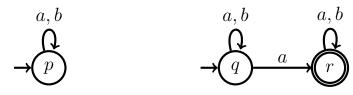
Iter.	$\mathcal{Q}$	$\mathcal{W}$
0	Ø	$\{[p_0, \{q_0\}]\}$
1	$\{[p_0, \{q_0\}]\}$	$\{[p_1, \{q_0\}]\}$
2	$\{[p_0, \{q_0\}], [p_1, \{q_0\}]\}$	$\{[p_0, \{q_1, q_2\}]\}$
3	$\{[p_0, \{q_0\}], [p_1, \{q_0\}], [p_0, \{q_1, q_2\}]\}$	Ø

At the third iteration,  $\mathcal{W}$  becomes empty and hence the algorithm returns *true*. Therefore  $L(A) \subseteq L(B)$ .

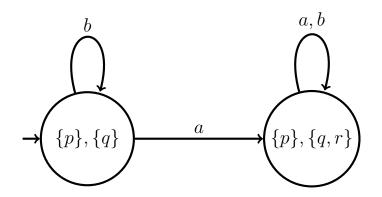
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Input: NFAs \overline{A} = (Q, \Sigma, \delta, Q_0, F) and \overline{A'} = (Q', \Sigma, \delta', Q'_0, F').
    Output: L(A) = L(A')?
 \mathbf{1} \ Q \leftarrow \emptyset
 2 W \leftarrow \{[Q_0, Q'_0]\}
 3 while W \neq \emptyset do
         pick [P, P'] from W
 \mathbf{4}
         if (P \cap F = \emptyset) \neq (P' \cap F' = \emptyset) then
 \mathbf{5}
               return false
 6
          for a \in \Sigma do
 7
               q \leftarrow [\delta(P, a), \delta'(P', a)]
 8
               if q \notin Q \land q \notin W then
 9
                    add q to W
10
11 return true
```

### Solution 5.3

- (a) We construct the pairing [NFAtoDFA(A), NFAtoDFA(B)] on the fly. The algorithm returns false if it encounters a state [P, P'] such that only one of P and P' contains a final state. If no such state is encountered, the algorithm returns true.
- (b) Let A and B be the following NFAs:



The pairing of A and B is as follows:



State  $[\{p\}, \{q\}]$  does not allow us to conclude anything since both p and q are non final. However, state  $[\{p\}, \{q, r\}]$ , which is not minimal, allows us to conclude that  $L(A) \neq L(B)$  since r is final.

(c) To show PSPACE-hardness, it suffices to give a reduction from NFA universality. Let A be an NFA. Let B the one state DFA that accepts  $\Sigma^*$ . The following holds:

$$L(A) = \Sigma^* \iff L(A) = L(B).$$

Therefore,  $\langle A \rangle \mapsto \langle A, B \rangle$  is a reduction from NFA universality to NFA/DFA equality.