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## Automata and Formal Languages — Homework 12

Due 27.01.2017

**Exercise 12.1** Consider the following Büchi automaton over  $\Sigma = \{a, b\}$ :



- (a) Sketch dag( $abab^{\omega}$ ) and dag( $(ab)^{\omega}$ ).
- (b) Let  $r_w$  be the ranking of dag(w) defined by

$$r_w(q,i) = \begin{cases} 1 & \text{if } q = q_0 \text{ and } \langle q_0, i \rangle \text{ appears in } \operatorname{dag}(w), \\ 0 & \text{if } q = q_1 \text{ and } \langle q_1, i \rangle \text{ appears in } \operatorname{dag}(w), \\ \bot & \text{otherwise.} \end{cases}$$

Are  $r_{abab^{\omega}}$  and  $r_{(ab)^{\omega}}$  odd rankings?

- (c) Show that  $r_w$  is an odd ranking if and only if  $w \notin L_{\omega}(B)$ .
- (d) Build a Büchi automaton accepting  $\overline{L_{\omega}(B)}$  using the construction seen in class. (Hint: by (c), it is sufficient to use  $\{0, 1\}$  as ranks.)

Exercise 12.2

Let B be the following Büchi automaton:



- (a) Execute the emptiness algorithm NestedDFS on B.
- (b) Recall that *NestedDFS* is a non deterministic algorithm and different choices of runs may return different lassos. Which lassos of *B* can be found by *NestedDFS*?

- (c) Show that NestedDFS is non optimal by exhibiting some search sequence on B.
- (d) Execute the emptiness algorithm TwoStack on B.
- (e) Which lassos of B can be found by TwoStack?

## Exercise 12.3

A Büchi automaton is weak if none of its strongly connected components contains both accepting and nonaccepting states. Give an emptiness algorithm for weak Büchi automata. What is the complexity of the algorithm?



(b) • r is not an odd rank for dag $(abab^{\omega})$  since

$$\langle q_0, 0 \rangle \xrightarrow{a} \langle q_0, 1 \rangle \xrightarrow{b} \langle q_0, 2 \rangle \xrightarrow{a} \langle q_0, 3 \rangle \xrightarrow{b} \langle q_1, 4 \rangle \xrightarrow{b} \langle q_1, 5 \rangle \xrightarrow{b} \cdots$$

is an infinite path of  $dag(abab^{\omega})$  not visiting odd nodes infinitely often.

• r is an odd rank for  $dag((ab)^{\omega})$  since it has a single infinite path:

$$\langle q_0, 0 \rangle \xrightarrow{a} \langle q_0, 1 \rangle \xrightarrow{b} \langle q_0, 2 \rangle \xrightarrow{a} \langle q_0, 3 \rangle \xrightarrow{b} \langle q_0, 4 \rangle \xrightarrow{a} \langle q_0, 5 \rangle \xrightarrow{b} \cdots$$

which only visits odd nodes.

(c)  $\Rightarrow$ ) Let  $w \in L_{\omega}(B)$ . We have  $w = ub^{\omega}$  for some  $u \in \{a, b\}^*$ . This implies that

$$\langle q_0, 0 \rangle \xrightarrow{u} \langle q_0, |u| \rangle \xrightarrow{b} \langle q_1, |u| + 1 \rangle \xrightarrow{b} \langle q_1, |u| + 2 \rangle \xrightarrow{b} \cdots$$

is an infinite path of dag(w). Since this path does not visit odd nodes infinitely often, r is not odd for dag(w).

 $\Leftarrow$ ) Let  $w \notin L_{\omega}(B)$ . Suppose there exists an infinite path of dag(w) that does not visit odd nodes infinitely often. At some point, this path must only visit nodes of the form  $\langle q_1, i \rangle$ . Therefore, there exists  $u \in \{a, b\}^*$  such that

$$\langle q_0, 0 \rangle \xrightarrow{u} \langle q_1, |u| \rangle \xrightarrow{b} \langle q_1, |u| + 1 \rangle \xrightarrow{b} \langle q_1, |u| + 2 \rangle \xrightarrow{b} \cdots$$

This implies that  $w = ub^{\omega} \in L_{\omega}(B)$  which is contradiction.

(d) By (c), for every  $w \in \{a, b\}^{\omega}$ , if dag(w) has an odd ranking, then it has one ranging over 0 and 1. Therefore, it suffices to execute *CompNBA* with rankings ranging over 0 and 1. We obtain the following Büchi automaton:



★ Actually, by (c), it is sufficient to only explore the blue states as they correspond to the family of rankings  $\{r_w : w \in \Sigma^\omega\}$ .

## Solution 12.2

- (a) Let us assume that the algorithms always pick states in ascending order with respect to their indices. *dfs1* visits q0, q1, q2, q3, q4, q5, q6, then calls *dfs2* which visits q6, q1, q2, q3, q4, q5, q6 and reports "non empty".
- (b) Since  $q_7$  does not belong to any lasso, only lassos containing  $q_1$  or  $q_6$  can be found. In every run of the algorithm, dfs1 blackens  $q_6$  before  $q_1$ . The only lasso containing  $q_6$  is:  $q_0, q_1, q_3, q_4, q_6, q_1$ . Therefore, this is the only lasso that can be found by the algorithm.
- (c) The execution given in (a) shows that *NestedDFS* is non optimal since it returns the lasso  $q_0, q_1, q_3, q_4, q_6, q_1$  even though the lasso  $q_0, q_1, q_2, q_1$  was already appearing in the explored subgraph.
- (d) Let us assume that the algorithms always pick states in ascending order with respect to their indices. The algorithm reports "non empty" after the following execution:

$$\xrightarrow{\begin{array}{c} C. \operatorname{push}(q_0) \\ V. \operatorname{push}(q_0) \end{array}} \underbrace{\begin{array}{c} C & V \\ & & \\ \end{array} \underbrace{\begin{array}{c} C. \operatorname{push}(q_1) \\ V. \operatorname{push}(q_1) \end{array}}_{q_1} \underbrace{\begin{array}{c} C & V \\ V. \operatorname{push}(q_2) \end{array}} \underbrace{\begin{array}{c} C & V \\ q_2 & q_2 \end{array}}_{q_1} \underbrace{\begin{array}{c} C & V \\ q_2 & q_2 \end{array}} \underbrace{\begin{array}{c} C & V \\ Q_2 & q_2 \end{array}}_{q_1} \underbrace{\begin{array}{c} C & V \\ q_2 & q_2 \end{array}}_{q_1} \underbrace{\begin{array}{c} Q_2 & C. \operatorname{pop}() \end{array}}_{q_1} \underbrace{\begin{array}{c} Q_2 & C. \operatorname{pop}() \end{array}}_{q_1} \underbrace{\begin{array}{c} Q_2 & Q_2 \end{array}}_{q_1} \underbrace{\begin{array}{$$

(e) All of them. The lasso  $q_0, q_1, q_2, q_1$  is found by the above execution. The lasso  $q_0, q_1, q_3, q_4, q_6, q_1$  is found by the following execution:

$$\begin{array}{c|cccc} C. \text{push}(q_{0}) & \hline C & V \\ \hline V. \text{push}(q_{1}) \\ \hline V. \text{push}(q_{1}) \\ \hline V. \text{push}(q_{1}) \\ \hline Q_{0} & q_{0} \end{array} \xrightarrow{\begin{array}{c} C & V \\ \hline V. \text{push}(q_{1}) \\ \hline V. \text{push}(q_{3}) \\ \hline Q_{0} & q_{0} \end{array} \xrightarrow{\begin{array}{c} C & V \\ \hline Q_{0} & q_{0} \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{1}) \\ \hline V. \text{push}(q_{3}) \\ \hline Q_{0} \end{array} \xrightarrow{\begin{array}{c} Q_{1} & q_{1} \\ \hline Q_{0} & q_{0} \end{array} \xrightarrow{\begin{array}{c} C & V \\ \hline Q_{0} & q_{0} \end{array} \xrightarrow{\begin{array}{c} C & V \\ \hline Q_{0} & q_{0} \end{array} \xrightarrow{\begin{array}{c} C & V \\ \hline Q_{0} & q_{0} \end{array} \xrightarrow{\begin{array}{c} C & V \\ \hline Q_{0} & q_{0} \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{3}) \\ \hline Q_{0} \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{4}) \\ \hline Q_{0} & q_{0} \end{array} \xrightarrow{\begin{array}{c} C & V \\ \hline Q_{0} & q_{0} \end{array} \xrightarrow{\begin{array}{c} C & V \\ \hline Q_{0} & q_{0} \end{array} \xrightarrow{\begin{array}{c} C & V \\ \hline Q_{0} & q_{0} \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{6}) \\ \hline Q_{1} & q_{1} \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{6}) \\ \hline Q_{1} & q_{1} \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{6}) \\ \hline Q_{1} & q_{1} \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{6}) \\ \hline Q_{1} & q_{1} \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{6}) \\ \hline Q_{1} & q_{1} \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{6}) \\ \hline Q_{1} & q_{1} \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{6}) \\ \hline Q_{1} & q_{1} \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{6}) \\ \hline Q_{1} & q_{1} \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{6}) \\ \hline Q_{1} & q_{1} \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{6}) \\ \hline Q_{1} & q_{1} \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{1}) \\ \hline Q_{2} \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{1}) \\ \hline Q_{1} & q_{1} \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{1}) \\ \hline Q_{2} \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{1}) \\ \hline Q_{1} \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{1}) \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{1}) \\ \hline Q_{1} \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{1}) \\ \hline \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{1}) \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{1}) \\ \hline \end{array} \xrightarrow{\begin{array}{c} C. \text{push}(q_{1}) \end{array} \xrightarrow{\begin{array}{c} C. \text{pu$$

The lass  $q_0, q_1, q_3, q_4, q_5, q_1$  is found by the following execution:



## Solution 12.3

The following algorithm works in linear time:

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Input: Weak Büchi automaton B = (Q, \Sigma, \delta, q_0, F).

Output: L_{\omega}(B) = \emptyset?

S, V \leftarrow \emptyset

dfs(q_0)

report "empty"

dfs(q):

S.add(q)

V.add(q)

for r \in succ(q) do

if r \notin S then

dfs(r)

else if r \in V and r \in F then

report "non empty"

V.remove(q)
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